

**STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
DEPARTMENT OF ENVIRONMENTAL MANAGEMENT
OFFICE OF COMPLIANCE AND INSPECTION**

IN RE: International Etching, Inc

File No.: 2009-1731-HW

AAD No.: 09-013/WME

CONSENT AGREEMENT

A. INTENT & PURPOSE

This Agreement is entered by and between the Rhode Island Department of Environmental Management's Office of Compliance & Inspection ("RIDEM") and International Etching, Inc. (the "Respondent"). This Agreement is entered in accordance with Section 42-17.1-2 et seq. of the Rhode Island General Laws ("R.I.G.L.") for the purpose of resolving the administrative enforcement action set forth in a Notice of Violation ("NOV") issued to the Respondent by RIDEM on May 28, 2009.

B. STIPULATED FACTS

- (1) WHEREAS, the subject property is located at 7 Ninigret Avenue in the city of Providence, Rhode Island (the "Property"). The property includes a facility used for the manufacturing of ornamental etchings (the "Facility").
- (2) WHEREAS, the Respondent is registered with the RIDEM pursuant to RIDEM's Rules and Regulations for Hazardous Waste Management (the "Hazardous Waste Regulations") under the name of "International Etching Inc." as a small quantity hazardous waste generator with the Environmental Protection Agency identification number RID 987467248.
- (3) WHEREAS, on May 28, 2009, RIDEM issued a NOV to the Respondent alleging certain violations of the Hazardous Waste Regulations.
- (4) WHEREAS, the Respondent requested an administrative hearing to contest the NOV.
- (5) WHEREAS, in lieu of proceeding to an administrative adjudicatory hearing on the NOV and to effect a timely and amicable resolution of the NOV, RIDEM and the Respondent hereby agree that it is in the best interest of the parties and in the public interest to resolve the issues raised in the NOV.

- (6) WHEREAS, RIDEM finds that this Agreement is a reasonable and fair settlement and adequately protects the public interest in accordance with the Hazardous Waste Regulations.

C. AGREEMENT

- (1) JURISDICTION – RIDEM has jurisdiction over the subject matter of this Agreement and has personal jurisdiction over the Respondent.
- (2) FORCE and EFFECT – This Agreement shall have the full force and effect of a final compliance order issued after a full hearing on the merits pursuant to the Administrative Procedures Act, R.I. Gen. Laws Section 42-35-1 et seq. from which no timely appeal was taken, and which is enforceable in Superior Court in accordance with R.I. Gen. Laws Section 42-17.1-2(21)(v).
- (3) APPLICATION – The provisions of this Agreement shall apply to and be binding upon RIDEM, the Respondent and its agents, servants, employees, successors, assigns and all persons, firms and corporations acting under, through and for the Respondent in the performance of work relating to or impacting the requirements of this Agreement.
- (4) CONDITIONS –
- (a) **Within thirty (30) days of execution of this Agreement**, Respondent shall submit to RIDEM’s Office of Compliance and Inspection records showing that all personnel at the Facility responsible for managing hazardous waste received annual training in 2010 in accordance with the Hazardous Waste Regulations.
- (b) Penalty – Respondent shall pay to RIDEM the sum of Ten Thousand Dollars (\$10,00.00) in administrative penalties assessed as follows:
- (i) Upon execution of this Agreement by the Respondent, the Respondent shall pay to RIDEM the sum of Four Hundred Dollars (\$400.00).
- (ii) The remainder of the settlement amount, Nine Thousand Six Hundred Dollars (\$9,600.00), shall be paid to RIDEM in twenty-four equal monthly installments of Four Hundred Dollars (\$400.00). The remaining payments shall be made starting on May 15, 2011 and shall continue on or before the 15th of each and every consecutive month until the entire penalty is paid in full.
- (iii) Penalties that the Respondent agrees to pay in this Agreement are penalties payable to and for the benefit of the State of Rhode Island and are not compensation for actual pecuniary loss.
- (iv) In the event that the Respondent fail to remit to RIDEM a payment on or before its due date, that payment will be considered late and the Respondent will be in default. If the payment is not received within thirty (30) days of its due date, interest shall begin to accrue on the entire unpaid balance at the rate of twelve percent (12%) per annum. Interest will accrue at this rate beginning

with the day after the due date specified in this Agreement until such date all past due installment payments and interest owed are remitted. Interest shall be calculated using the following generally established accounting principle:

Interest due = (number of days late/365) x (0.12) x (amount of unpaid balance)

This provision does not preclude RIDEM from seeking additional penalties according to Section D for failure to comply with the remaining provisions of this Agreement.

- (v) All penalty payments shall be in the form of a certified check, cashiers check, or money order, payable to the *R.I. General Treasurer –Environmental Response Fund Account*. All payments shall be delivered to:

Chief, RIDEM Office of Compliance and Inspection
235 Promenade Street
Providence, RI 02908-5767

D. COMPLIANCE

- (1) EFFECT OF COMPLIANCE – Compliance with and fulfillment of this Agreement shall be deemed to resolve all issues raised in the NOV
- (2) FAILURE TO COMPLY – In the event that the Respondent fails to comply with items specified in Section C(4)(a) of the Agreement, the Respondent shall pay a stipulated penalty of One Hundred Dollars (\$100.00) per month for each and every month during which the noncompliance continues, except that RIDEM may, for good cause shown, defer or reduce such penalty. The payment of a penalty in accordance with this section shall not preclude RIDEM from seeking any other appropriate remedy (e.g., injunctive relief in Superior Court).
- (3) COMPLIANCE WITH OTHER APPLICABLE LAWS – Compliance with the terms of this Agreement does not relieve the Respondent of any obligation to comply with any other applicable laws or regulations administered by, through or for RIDEM or any other governmental entity.
- (4) ADDITIONAL ENFORCEMENT ACTIONS – Upon a determination by the Director that there is a threat to the public health or the environment, or upon discovery of any new information, RIDEM reserves the right to take additional enforcement actions as provided by law or regulation, including, but not limited to, the issuance of “Immediate Compliance Orders” as authorized by R.I.G.L. § 42-17.1-2(21). This Agreement shall not restrict any right to hearing or other right available by statute or regulation that the Respondent may have regarding any new enforcement action commenced by RIDEM after the execution of this Agreement.
- (5) FUTURE ACTIVITIES AND UNKNOWN CONDITIONS – This Agreement shall not operate to shield the Respondent from liability arising from future activities, as of the date of execution of this Agreement.

(6) SCOPE OF THE AGREEMENT – The scope of the Agreement in only violations alleged in the NOV.

(7) NOTICE AND COMMUNICATION - Communications regarding this Agreement shall be directed to:

Tracey Tyrrell, Supervising Environmental Scientist, RIDEM Office of Compliance and Inspection, 235 Promenade Street, Providence, RI 02908-5767. Tel. (401) 222-1360, ext. 7407.

Richard M. Bianculli, Jr., Esq., RIDEM Office of Legal Services, 235 Promenade Street, Providence, RI 02908- 5767. Tel. (401) 222-6607.

Jonathan J. Zucci, President, International Etching, Inc., 7 Ninigret Ave, Providence, Rhode Island 02907. Tel (401) 781-6800.

(a) At any time prior to full compliance with the terms of this Agreement, the Respondent agrees to notify RIDEM in writing of any change in ownership of the Facility, and provide the name and address of the new owner(s). Notice of any change in address/telephone/fax of either party shall be sent to all other parties by certified mail.

(b) All communications regarding compliance with this Agreement shall be forwarded to the above-referenced addresses by certified mail.

(8) DEFERRAL – The Director may, for good cause shown, defer any of the compliance dates prescribed herein. Good cause for deferral of any compliance date shall be forwarded to RIDEM in writing at least fifteen days prior to the prescribed deadline.

(9) AMENDMENT – The Agreement may be amended by mutual agreement of the parties in writing.

(10) EFFECTIVE DATE – This Agreement shall be deemed entered as of the date of execution by all parties.

IN WITNESS WHEREOF, the undersigned consent to this Agreement in substance and in form.

For International Etching, Inc.

By: _____ (Print Name)

Its: _____ (Title)

Dated: _____

In my capacity as _____ of International Etching, Inc., I hereby aver that I am authorized to enter into this Agreement and thereby bind International Etching, Inc. to satisfy any obligation imposed upon it pursuant to said Agreement.

STATE OF RHODE ISLAND
COUNTY OF _____

In _____, on this _____ day of _____, 2011, before me personally appeared _____, the _____ of International Etching, Inc., a Rhode Island corporation, to me known and known by me to be the party executing the foregoing instrument on behalf of International Etching, Inc., and he/she acknowledged said instrument by him/her executed, to be his/her/ free act and deed in said capacity and the free act and deed of International Etching, Inc.

Notary Public

My Commission Expires: _____

For the State of Rhode Island Department of
Environmental Management

David E. Chopy, Chief
Office of Compliance and Inspection

Date: _____