

TITLE V ANNUAL COMPLIANCE CERTIFICATION EXAMPLE

Part 29: Operating Permits 250-RICR-120-05-29, and the United States Environmental Protection Agency’s Code of Federal Regulations, 40 CFR Part 70, require sources subject to these regulations to submit an annual compliance certification.

Compliance certifications must include:

- (a) **Permit term or condition** that is the basis of the certification;
- (b) **Compliance Status** (indicate whether the facility is certifying compliance or incidence(s) of noncompliance with the permit condition. Provide a summary of the non-compliance from the operating permit condition when certifying incidence of non-compliance.)
- (c) **Whether compliance was continuous or intermittent;** and
- (d) **Methods used for determining compliance,** (for example, recordkeeping, continuous emission monitoring systems, parameter monitor, stack test, standard operating procedure, good engineering practice, routine inspections, etc.)

Compliance certifications must be submitted to the OAR and USEPA Region I (contact information below) within 60 days following the end of the reporting period, which is the calendar year unless otherwise specified. All compliance certifications must be certified as being true, accurate, and complete by a responsible official.

DEM.AirCompliance@dem.ri.gov
 OR
 Department of Environmental Management
 Office of Air Resources
 Attn: Compliance and Assurance Section
 235 Promenade Street, Suite 330
 Providence, RI 02908-5767

USEPA Region 1 - New England
 Enforcement and Compliance Assurance Division
 Air Compliance Section
 Attn: Air Compliance Clerk
 5 Post Office Square
 Boston, MA 02109-3912

COMPLIANCE CERTIFICATION EXAMPLE:

(a) Permit term or condition	(b) Compliance Status	(c) Whether compliance was continuous or intermittent	(d) Methods used for determining compliance	Summary of Incidence(s) of Noncompliance
Emission source: P001, P002 Control Efficiency				
I.B.1.a	<input checked="" type="checkbox"/> In Compliance <input type="checkbox"/> Incidence(s) of Non-Compliance	<input checked="" type="checkbox"/> Continuous <input type="checkbox"/> Intermittent	<input checked="" type="checkbox"/> Recordkeeping <input type="checkbox"/> CEMS/ COMS <input type="checkbox"/> Parameter monitor <input type="checkbox"/> Stack Test	None
I.B.1.b	<input checked="" type="checkbox"/> In Compliance <input type="checkbox"/> Incidence(s) of Non-Compliance	<input checked="" type="checkbox"/> Continuous <input type="checkbox"/> Intermittent	<input type="checkbox"/> Recordkeeping <input type="checkbox"/> CEMS/ COMS <input type="checkbox"/> Parameter monitor <input checked="" type="checkbox"/> Stack Test	Statement of Fact
Emission source: C001 Catalytic Oxidizer				
I.B.2.a	<input checked="" type="checkbox"/> In Compliance <input type="checkbox"/> Incidence(s) of Non-Compliance	<input checked="" type="checkbox"/> Continuous <input type="checkbox"/> Intermittent	<input type="checkbox"/> Recordkeeping <input checked="" type="checkbox"/> CEMS/ COMS <input type="checkbox"/> Parameter monitor <input type="checkbox"/> Stack Test	See Semi-Annual Monitoring Report dated 07/25/09
I.B.2.b	<input checked="" type="checkbox"/> In Compliance <input type="checkbox"/> Incidence(s) of Non-Compliance	<input checked="" type="checkbox"/> Continuous <input type="checkbox"/> Intermittent	<input type="checkbox"/> Recordkeeping <input type="checkbox"/> CEMS/ COMS <input type="checkbox"/> Parameter monitor <input type="checkbox"/> Stack Test <input checked="" type="checkbox"/> Equipment design	System is equipped with an interlock to prevent bypassing of C001

The purpose of this document is to provide an example of an annual compliance certification. It is the responsibility of facility representatives to provide a complete, and accurate annual compliance certification as required by Part 29: Operating Permits 250-RICR-120-05-29, the United States Environmental Protection Agency’s Code of Federal Regulations, 40 CFR Part 70, and the facility’s operating permit.