



# **Construction Site Stormwater Compliance Workbook**

**Applicable to All Construction Sites  
Which Disturb  $\geq 1$  Acre of Land**



**Revised: March 2015**

**Rhode Island Department of Environmental Management  
Office of Customer and Technical Assistance  
235 Promenade Street  
Providence, RI 02908-5767  
(401) 222-4700**



# Table of Contents

**Section 1.0 Compliance Certification** ..... 1

    1.1 What is compliance certification?.....2

    1.2 Benefits to participating in the self-certification program:..... 3

    1.3 What is not covered by the compliance certification..... 3

**Section 2.0 Compliance Certification Checklists**..... 3

    2.1 Description of Checklists..... 3

    2.2 How do I fill out the compliance certification checklists? ..... 4

    2.3 Where do I send the DEM submittals? ..... 4

    2.4 How do I obtain additional copies of this workbook and the checklists?..... 5

    2.5 Step-by-step instructions for filling out the checklists: ..... 5

    2.6 Checklists:..... 6

**DEM Submittal No. 1 - Start of Construction**  
**DEM Submittal No. 2 - Completion of Construction**

**Questions and/or Comments can be directed to:**

**RI DEM - Office of Customer and Technical Assistance**  
**235 Promenade Street**  
**Providence, RI 02908-5767**  
**(401) 222-4700**

Note: This booklet is a public document and is not copyrighted. It may be reproduced but may not be sold for profit. Also, any and all products and companies identified (through the manufacturer's supplied product literature) in this report are for example only. No endorsements are implied nor should any be inferred.

**DISCLAIMER:** The Rhode Island Department of Environmental Management does not warrant or assume any legal liability or responsibility for the accuracy, completeness, usefulness, or application of any information, apparatus, product, or process disclosed in this document.



## **Section 1.0 Compliance Certification**

### **1.1 What is compliance certification?**

In order to improve environmental compliance at less cost to both government and business, you may now self-certify to the Department of Environmental Management (DEM) that you are in compliance with the requirements of the RIPDES Construction Storm Water General Permit (CGP). This approach is intended to help construction site owners and operators understand and document compliance, and in the case of non-compliance, return the site back into compliance per requirements of the CGP. This packet contains instructions and submission materials to self-certify your compliance to DEM.

1. **Applicability:** Construction activities which disturb one (1) or more acres of land and where storm water runoff is directed, via a point source (see RIPDES Rule 3 for the definition of point source), into a separate storm sewer system or into the waters of the State, are required to seek coverage under the CGP. *Regardless of whether or not your permit authorization was granted by a RIDEM Permitting Program (RIPDES, Freshwater Wetlands, Groundwater Discharge/Underground Injection Control, or Water Quality Certification Programs), the Coastal Resources Management Council (CRMC), or a town/city which has a Qualifying Local Program that has been formally approved by the RIDEM, compliance with the CGP is mandatory.*
2. **Complying with the CGP:** The key to complying with the CGP centers around the development of, and compliance with, your site's Soil Erosion & Sediment Control (SESC) plan. A model SESC plan has been developed by DEM and is available at DEM's Office of Water Resources. **THE SELF COMPLIANCE CHECKLISTS PROVIDED IN THIS PACKAGE ARE NOT A SUBSTITUTE FOR THE REQUIRED WEEKLY AND POST-STORM INSPECTIONS REQUIRED BY THE CGP.** These checklists have been developed to assist site owners and operators understand and comply with all aspects of the CGP during all phases of the construction project. If you determine that your site is not in compliance with a particular requirement, corrective action should be taken and documented on the applicable checklist. There are two checklist submittals that are required and are included in this Compliance Workbook (see Section 2).
3. **Compliance Assistance:** The *Rhode Island Soil Erosion and Sediment Control Handbook (RISESC Handbook)* is intended to assist property owners, developers, engineers, consultants, contractors, municipal staff and others in planning, designing and implementing effective Soil Erosion and Sediment Control Plans for the development and redevelopment of properties in Rhode Island. The *RISESC Handbook* provides technical guidance for soil and water resource management to prevent soil erosion and control sedimentation on lands being developed for residential, commercial, industrial, or recreational use. The *RISESC Handbook* is referenced throughout the enclosed self-compliance checklists to help guide site owners and operators to the sections of the



*RISESC Handbook* which apply directly to the compliance questions being asked. If you are involved in the implementation of Soil Erosion and Sediment Control Plans the *RISESC Handbook* will assist you greatly in carrying out this task. The DEM encourages you to refer to the *RISESC Handbook* whenever you are unsure how to address a particular site issue. A link to the *RISESC Handbook* can be found at the following DEM website:

<http://www.dem.ri.gov/programs/benviron/water/permits/swcoord/index.htm>

## **1.2 Benefits to participating in the self-certification program:**

- useful tool for owner and management to double-check and confirm that all procedures are followed
- the ability to promptly correct environmental violations to assist in preventing an enforcement action including the assessment of penalties,
- better preparation for random or targeted inspections, and
- access to free technical assistance from DEM's Office of Technical and Customer Assistance

**Note: Participation in the Construction Storm Water Compliance Program does not guarantee that you will not be subject to a compliance inspection. Both state and federal environmental agencies have been granted regulatory authorities to perform such inspections. These inspections can result in enforcement actions against you and can delay the completion of your project or result in restoration orders if you have violated your permit. Participation in this program will identify deficiencies and better prepare you in the event of an inspection. Keep copies of your checklists and corrective actions to assist you in demonstrating compliance with applicable state and federal regulations.**

## **1.3 What is not covered by the compliance certification**

Voluntary compliance self-certification is intended to assist you in maintaining compliance with the CGP, and in some cases specific RIDEM Freshwater Wetlands permit requirements. There may be other State, Federal or Local requirements or permits that apply to your project site such as building codes, local soil and erosion control requirements, fire codes, etc. that are not covered. You must still comply with all requirements relevant to your project.

## **Section 2.0 Compliance Certification Checklists**

### **2.1 Description of Checklists**

Two Compliance Certification Checklists must be submitted to DEM as part of the self-certification program. These checklists must be filled out by a competent individual who understands the terms and conditions of the CGP, the construction site, the site-specific SESC



plan, and the environmental responsibilities of construction site owners and operators. These checklists must be signed, submitted to DEM, and copies of all checklists should be kept on site.

**1. Start of Construction Stormwater Checklist – DEM Submittal No. 1:**

The first of two self-certification checklists contains compliance questions which will ensure that all appropriate controls and administrative procedures are in place at the start of site disturbance activities. DEM Submittal No. 1 should be completed and submitted to the DEM no later than thirty (30) days after initiating site disturbance activities.

**2. Completion of Construction Stormwater Checklist – DEM Submittal No. 2:**

This final submittal contains compliance questions which pertain to the measures required to ensure that your project is completed properly. This checklist must be completed and submitted to the DEM within thirty (30) days after completion of all land disturbing activities. All disturbed soils at the construction site must be fully stabilized, temporary erosion and sediment control measures must be removed, and all storm water discharges associated with the construction activity must be eliminated prior to completing this checklist.

**2.2 How do I fill out the compliance certification checklists?**

1. Read the CGP, your site specific Soil Erosion and Sediment Control Plan, and any other permit requirements that may also be applicable to your site to understand the terms and conditions of the CGP and all your other environmental responsibilities.
2. Read each checklist and identify all the questions that apply to your site.
3. At each specified stage of construction, walk through your site with the checklist and identify all the questions where you are already in compliance and those where you will need to make changes to come into compliance.
4. If you find that you are out of compliance with any condition of your permit this is okay, but be sure to indicate how and when the non-compliant condition was resolved in the checklist. Failure to address non-compliant conditions will increase the likelihood that you will be found in non-compliance and may subject you to an enforcement action.

**2.3 Where do I send the DEM submittals?**

DEM Submittals 1 and 2 should be mailed to:

**RI Department of Environmental Management  
Office of Customer and Technical Assistance  
Construction Storm Water Compliance Program  
235 Promenade Street  
Providence, RI 02908-5767**



## **2.4 How do I Obtain Additional Copies of this Workbook and the Checklists?**

You may download copies of the Construction Site Stormwater Compliance Workbook at the following Internet address:

<http://www.dem.ri.gov/programs/benviron/assist/ms4/index.htm>

If you are unable to download the necessary forms, please contact the RI DEM, Office of Customer and Technical Assistance for additional copies at 235 Promenade Street, Providence, RI 02908-5767 or via telephone by calling (401) 222-4700.

## **2.5 Step-by-step instructions for filling out the checklists**

### **Project Information**

The first section of each checklist requires some basic information about the construction site including the personnel and specific entities responsible for compliance.

### **Self-Certification Compliance Questions**

These questions provide DEM with some background information about your construction site and information about whether or not you are following the environmental protection standards and requirements that apply to your project. If you are not in compliance with the requirements on the date(s) you conduct your inspection(s), you must check “No” next to the applicable compliance question, make the required corrective actions, and document the date the corrective actions were completed prior to making a formal submittal to the DEM.

The following corrective actions timeline is required as stated in the CGP:

1. Initiate work to fix the problem immediately after discovering the problem, and complete such work by the close of the next workday, if the problem does not require significant repair or replacement, or if the problem can be corrected through routine maintenance.
2. When installation of a new control or a significant repair is needed, site owners and operators must ensure that the new or modified control measure is installed and made operational by no later than seven (7) calendar days from the time of discovery where feasible. If it is infeasible to complete the installation or repair within seven (7) calendar days, the reasons why it is infeasible must be documented in the SESC Plan along with the schedule for installing the stormwater control(s) and making it operational as soon as practicable after the 7-day timeframe.
3. Corrective actions must be documented, signed, and dated by the site operator once all necessary repairs have been completed.



**Please note that it is your responsibility to keep your project in compliance with environmental protection requirements at all times. You may be subject to enforcement action if you do not comply with the terms and conditions of your permit.**

### **Certification Statements**

The Self Certification Checklists include certification statements that must be signed to pledge that you have the required protocols in place and that you will maintain them to keep your site in compliance with environmental protection standards at all times. The Certification Statements provided in this workbook are preprinted statements which state that the person signing the form:

- has reviewed it,
- believes the information being submitted is true,
- will make sure that protocols are in place that will keep the project in compliance with permit requirements, and
- understands that there may be serious consequences for knowingly submitting false information to DEM.

The statements must be signed by the site inspector, the property owner and the site operator. Any person who signs the form must also print or type his or her name and title on the appropriate lines and date the form.

## **2.6 Checklists**

The two (2) self-certification checklists are included in the following section.

# Start of Construction Stormwater Self-Certification Checklist

**Note:** This checklist must be completed and submitted to the DEM no later than 30 days after initiating site disturbance activities.

Project Information			
<b>Site Name:</b>			
<b>Site Address:</b>			
<b>Environmental Permits</b>	<b>Check all that are applicable to the construction project site:</b>		<b>Permit Number</b>
	<input type="checkbox"/> Yes	<b>RIPDES CGP (construction)</b>	
	<input type="checkbox"/> Yes	<b>RIPDES RGP (dewatering)</b>	
	<input type="checkbox"/> Yes	<b>Freshwater Wetlands</b>	
	<input type="checkbox"/> Yes	<b>Water Quality Certificate</b>	
	<input type="checkbox"/> Yes	<b>CRMC Assent</b>	
	<input type="checkbox"/> Yes	<b>Army Corps</b>	
	<input type="checkbox"/> Yes	<b>Other (indicate type below)</b>	
<b>Site Owner</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
	<b>Mailing Address</b>		
<b>Site Operator</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
	<b>Mailing Address</b>		
Inspection Information			
<b>Site Inspector</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
<b>Inspection Date</b>		<b>Start/End Time</b>	
<b>Date Site Disturbance Activities Commenced</b>			

## Section A: DEM Freshwater Wetlands Permit Conditions

**Note:** If documents are in the field office and able to be reviewed upon request, this counts as "on site."

Permit Requirement		If "No", corrective action is required. Specify action/date:	
A1	Are Freshwater Wetlands (FWW) Permit Conditions applicable to the project? If "N/A", move on to Section B of this checklist.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
A2	Did you notify the DEM prior to starting site alterations?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A3	Are copies of the FWW Permit and the stamped approved site plans kept on site?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
i.	If required by the FWW Permit, did the owner record the permit in the apt Land Evidence Records within 10 days of receiving the permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
A4	Is a weather resistant sign posted on site with the initials "DEM" and the FWW Permit number (at least 12 inches wide and 18 inches long)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A5	Does the project include the placement of any fill or material from off-site locations? If "N/A", skip to Question A10.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
i.	Was the material inspected to ensure that is clean and free of any substances that could pollute the freshwater wetland?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
A10	Has the owner complied with the terms and conditions of the FWW Permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

## Section B: Erosion, Runoff, and Sediment Control Conditions

Permit Requirement		If "No", corrective action is required. Specify action/date:	
<b>Proper Installation of Temporary Control Measures</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans]			
B1	Have all control measures been installed as specified in the RISESC Handbook and prior to any earth disturbing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Limits of Disturbance</b> [See RISESC Handbook Section Three: Minimizing Disturbed Area; Protecting Vegetated Buffers; Limit of Work & Site Access Control]			
B2	Are appropriate limits of disturbance (LOD) established in order to protect:		
i.	Environmental resources and sensitive receptors	<input type="checkbox"/> Yes <input type="checkbox"/> No	
ii.	Planned infiltration areas and pervious areas	<input type="checkbox"/> Yes <input type="checkbox"/> No	
iii.	Are the LOD properly marked on the site as detailed on the approved site plans?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
iv.	Has the operator ensured that no activity or alterations occur beyond the approved LOD?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Stormwater Flow Onto and Through Project Area</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans; Section Five: Runoff Control Measures; Section Six: Sediment Control Measures]			
B3	Are controls that limit runoff from exposed soils by diverting, retaining, or detaining flows (such as check dams, sediment basins, etc.) in place?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

B4	Are all temporary conveyance practices installed correctly and functioning as designed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
i.	Has maintenance been performed as required to ensure continued proper function of all temporary conveyances practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Soil Stabilization</b> [See RISESC Handbook Section Four: Erosion Control Measures]			
B5	Were all exposed soils seeded by October 15 <sup>th</sup> ?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B6	Have soils been stabilized where earth disturbance activities have permanently or temporarily ceased on any portion of the site and will not resume for more than 14 days?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B7	In instances where adequate vegetative stabilization was not established by November 15 <sup>th</sup> , have non-vegetative erosion control measures must be employed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B8	If work is to continue from October 15 <sup>th</sup> through April 15 <sup>th</sup> . Are steps taken to ensure that only the day's work area will be exposed and all erodible soil is stabilized within 5 working days?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Storm Drain Inlet Protection</b> [See RISESC Handbook Section Six: Inlet Protection]			
B9	Have inlet protection measures (such as fabric drop inlet protection, curb drop inlet protection, etc.) been properly installed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B10	Has the operator cleaned and maintained inlet protection measures when needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B11	Has the operator removed accumulated sediment adjacent to inlet protection measures within 24 hours of detection?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Outlet Protection</b> [See RISESC Handbook Section Five: Outlet Protection]			
B12	Has the operator properly installed outlet protection (such as riprap, turf mats, etc.) at all temporary and permanent discharge points?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B13	Are all outlet protection measures functioning properly in order to reduce discharge velocity, promote infiltration, and eliminate scour?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B14	Have the discharge points been inspected:		
i.	To ensure the design is adequate to prevent scouring and channel erosion.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
ii.	To ensure maintenance is occurring in order to protect the receiving water and downstream conveyances.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Sediment Barriers</b> [See RISESC Handbook Section Six: Compost Filter Berms; Silt Fence; Straw Wattles, Compost Tubes, & Fiber Rolls; Straw Bale]			
B15	Have sediment controls been installed along perimeter areas that will receive stormwater from earth disturbing activities?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B16	Is the operator maintaining sediment controls in accordance with the requirements in the RISESC Handbook?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Protection of Post Construction Stormwater Practices</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans]			
B17	Have temporary sediment barriers been installed around permanent infiltration areas (such as water sources, infiltration basins, etc.)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

B18	Have staging areas and equipment routing been implemented to avoid compaction where permanent infiltration areas will be located?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Utilize Surface Outlets</b> [See RISESC Handbook Section Six: Temporary Sediment Basins]			
B19	Are surface outlet structures (such as skimmers, siphons, etc.) installed for each temporary sediment basin? [Exception: frozen conditions]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B20	Have all temporary sediment basins or traps been inspected and maintained as required to ensure proper function?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Properly Use Treatment Chemicals</b> [See RISESC Handbook Section Seven: Appendix J. Chemical Treatment for Erosion & Sediment Control]			
B21	Does the project include the use of polymers, flocculants, or other chemicals to control erosion, sedimentation, or runoff from the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B22	Are all chemicals being managed in accordance with Appendix J of the RISESC Handbook and current best management practices?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Section C: Pollution Prevention</b>			
<b>Permit Requirement</b>			<b>If "No", corrective action is required. Specify action/date:</b>
<b>Prohibited Discharges</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans; and Section Three: Concrete Washout; Waste Management; Spill Prevention & Control Plans; Vehicle Fueling Maintenance & Washing]			
C1	Has the site operator taken steps to <b>prohibit</b> the following pollutant discharges on the site?		
i.	Contaminated groundwater.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
ii.	Wastewater from washout of concrete; unless properly contained, managed, and disposed of.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
iii.	Wastewater from washout and cleanout of stucco, paint, form release oils, curing compounds, and other construction products.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
iv.	Fuels, oils, or other pollutants used in vehicle and equipment operation and maintenance.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
v.	Soaps or solvents used in vehicle and equipment washing.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
vi.	Toxic or hazardous substances from a spill or other release.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Minimize Off-Site Tracking of Sediments</b> [See RISESC Handbook Section Six: Construction Entrances; Section Three: Street Sweeping]			
C2	Is the operator restricting vehicle use to properly designated exit points?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
C3	Is the operator using properly constructed entrances/exits to the site so sediment removal occurs prior to vehicles exiting?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
C4	If needed, are additional controls (such as rumble strips, rattle plates, etc.) in place to remove sediment from tires prior to exiting?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
C5	Is sediment track-out being removed by the end of the same workday in which it occurs (via sweeping, shoveling, or vacuuming)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

<b>Proper Waste Disposal</b> [See RISESC Handbook Section Three: Waste Management]			
C6	Are all wastes generated at the site being managed and properly disposed of by the end of each workday?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Spill Prevention and Control</b> [See RISESC Handbook Section Three: Spill Prevention & Control Plans]			
C7	Are all chemicals and hazardous waste materials stored properly in covered areas and surrounded by containment control systems?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
C8	Has the operator established spill prevention and control measures to effectively reduce, contain, cleanup, and properly dispose of spills?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C9	Has the operator established highly visible locations for the storage of spill prevention and control equipment on the construction site?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C10	Has the operator trained staff on the use of spill prevention and control equipment?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Allowable Non-Stormwater Discharges</b> [See RISESC Handbook Section Five: Runoff Control Measures]			
C11	Are allowable non-stormwater discharges being kept separate from stormwater flow?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
C12	Are allowable non-stormwater discharges being managed properly with adequate controls?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Dewatering Practices</b> [See RISESC Handbook Section Six: Containment Areas for Earth Materials; Portable Sediment Tanks & Bags; Pumping Settling Basins; and Pump Intake Protection]			
C13	Is the site operator properly managing groundwater or stormwater that is removed from excavations, trenches, or similar points of accumulation? If "Yes", check each control measure in use or planned for use on the site:	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
	Temporary Sediment Basin	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Temporary Sediment Trap	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Sediment Socks	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Dewatering Tanks or Bags	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Pumping Settling Basins	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Pump Intake Protection	<input type="checkbox"/> Yes <input type="checkbox"/> No	
	Filtration systems (such as bag or sand filters)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
C14	<b>Additional discharge requirements:</b>		
i.	Is the operator in compliance with preventing discharge of visible floating solids or foam?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
ii.	To the extent feasible, are vegetated upland areas of the site utilized to infiltrate water from dewatering processes before discharge?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
iv.	If filters are used, is the filter backwash being properly disposed of and not returned to the beginning of the treatment process?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Establish Proper Building Material Staging Areas</b> [See RISESC Handbook Section Three: Stockpile & Staging Area Management]			
C15	Are proper procedures and controls in place for the storage of materials that may discharge pollutants if exposed to stormwater?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Control Discharges from Stockpiled Sediment or Soil</b> [See RISESC Handbook Section Three: Stockpile & Staging Area Management]			
C16	Has the operator complied with the following requirements for any stockpiles or land clearing debris containing sediment or soil:		

i.	Are stockpiles located within the limits of disturbance?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
ii.	Are stockpiles being protected from contact with stormwater using a temporary perimeter sediment barrier?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
iii.	Where needed, has cover or appropriate temporary vegetative or structural stabilization been utilized for stockpiles?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Minimize Dust</b> [See RISESC Handbook Section Three: Dust Control]			
C17	Is the operator effectively managing the generation of dust through the use of water, chemicals, or limitation of exposed soil?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Designated Washout Areas</b> [See RISESC Handbook Section Three: Concrete Washout]			
C18	Are designated washout areas (such as wheel washing stations, washout for concrete, paint, stucco, etc.) clearly marked on the site?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Proper Equipment/ Vehicle Fueling and Maintenance Practices</b> [See RISESC Handbook Section Three: Vehicle Fueling, Maintenance & Washing]			
C19	Are vehicle fueling and maintenance areas properly located to prevent pollutants from impacting stormwater and sensitive receptors?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Section D: Recordkeeping</b>			
<b>Note:</b> If documents are in the field office and able to be reviewed upon request, this counts as "on site."			
<b>Permit Requirement</b>			<b>If "No", corrective action is required. Specify action/date:</b>
<b>Soil Erosion and Sediment Control (SESC) Plan</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans]			
D1	If no personal are on site, has a sign been posted at the main entrance with the SESC Plan's location and contact person's information?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D2	If the site is active, are all of the required documents available on site? These include:	<input type="checkbox"/> Yes <input type="checkbox"/> No	
(D3)	Signed SESC Plan	<input type="checkbox"/> Yes <input type="checkbox"/> No	
(D19)	All SESC weekly inspection reports	<input type="checkbox"/> Yes <input type="checkbox"/> No	
(D18)	All project permits and Notice of Intent	<input type="checkbox"/> Yes <input type="checkbox"/> No	
(D6)	Full Construction Site Plans (must detail BMPs)	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D4	Is the SESC Plan signed by the site operator?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D5	Is the SESC Plan signed by the site owner?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D7	Are amendments to the SESC Plan or Full Construction Site Plans documented?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
<b>Records of Inspections</b> [See RISESC Handbook Section Two: Soil Erosion & Sediment Control Plans]			
D8	Are inspections of all stormwater control measures done at least once a week and after storm events of 0.25" of rain within 24 hours?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D9	If the inspection frequency was reduced to once per month due to frozen conditions, is documentation of these periods available?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
D10	Do weekly inspection records include the following information for each inspection:		
i.	Date of inspection	<input type="checkbox"/> Yes <input type="checkbox"/> No	

ii.	Time of inspection	<input type="checkbox"/> Yes <input type="checkbox"/> No	
iii.	Inspector's name	<input type="checkbox"/> Yes <input type="checkbox"/> No	
iv.	Inspector's signature	<input type="checkbox"/> Yes <input type="checkbox"/> No	
v.	Inspector's contact information	<input type="checkbox"/> Yes <input type="checkbox"/> No	
vi.	Owner's signature	<input type="checkbox"/> Yes <input type="checkbox"/> No	
vii.	Operator's signature	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>Records of Maintenance and Corrective Actions [See RISESC Handbook Section Two: Soil Erosion &amp; Sediment Control Plans]</b>			
D11	Has the operator properly maintained all stormwater control measures?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D12	If a problem is identified, has the operator initiated work to fix the problem immediately or at least within 24 hours of its initial discovery?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D13	If a significant problem is identified, has the operator fixed this issue within 7 days of its initial discovery?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
D14	If significant problem is infeasible to fix within 7 days, has the estimated timeframe needed for this repair been documented in the SESC Plan?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
D15	If a significant repair is required and the SESC Plan must be modified, has the SESC Plan been updated accordingly within 7 days?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
D16	Are all corrective actions documented in the inspection report in which the problem was first discovered?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
D17	Are all corrective actions documented, signed, and dated by the site operator once all necessary repairs have been completed?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

<b>CERTIFICATIONS</b>		
<b>SITE INSPECTOR Certification:</b> <i>"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."</i>		
Print Inspector Name:	Inspector Signature:	Date:
<b>SITE OPERATOR Acknowledgment:</b> <i>The Site Operator acknowledges the completion of this checklist, and understands the requirements set forth in the RIDEM RIPDES Construction General Permit.</i>		
Print Operator Name:	Operator Signature:	Date:
<b>SITE OWNER Acknowledgment:</b> <i>The Site Owner acknowledges the completion of this checklist, and understands the requirements set forth in the RIDEM RIPDES Construction General Permit.</i>		
Print Owner Name:	Owner Signature:	Date:

# Completion of Construction Stormwater Self-Certification Checklist

**Note:** This checklist must be submitted to the DEM within 30 days after completion of permanent site stabilization. Prior to submitting this checklist the following conditions must be satisfied: All disturbed soils at the construction site are fully stabilized, temporary erosion and sediment control measures have been removed, and all stormwater discharges associated with construction activity have been eliminated.

**\*\*This checklist also serves as the RIPDES Construction General Permit Notice of Termination (NOT).**

Project Information			
<b>Site Name:</b>			
<b>Site Address:</b>			
<b>Environmental Permits</b>	<b>Check all that are applicable to the construction project site:</b>		<b>Permit Number</b>
	<input type="checkbox"/> Yes	RIPDES CGP (construction)	
	<input type="checkbox"/> Yes	RIPDES RGP (dewatering)	
	<input type="checkbox"/> Yes	Freshwater Wetlands	
	<input type="checkbox"/> Yes	Water Quality Certificate	
	<input type="checkbox"/> Yes	CRMC Assent	
	<input type="checkbox"/> Yes	Army Corps	
<input type="checkbox"/> Yes	Other (indicate type below)		
<b>Site Owner</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
	<b>Mailing Address</b>		
<b>Site Operator</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
	<b>Mailing Address</b>		
Inspection Information			
<b>Site Inspector</b>	<b>Name</b>	<b>Phone</b>	<b>Email</b>
<b>Inspection Date</b>		<b>Start/End Time</b>	
<b>Date Land Disturbing Activities Ceased</b>			
<b>Date Final Site Stabilization was Achieved</b>			

## Section A: DEM Freshwater Wetlands Permit Conditions

Permit Requirement		If "No", corrective action is required. Specify action/date:	
A1	Are Freshwater Wetlands (FWW) Permit Conditions applicable to the project? If "N/A", move on to Section B of this checklist.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
A2	Does your project include flood loss compensation? If "N/A", skip to Question A3.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
i.	Was the flood loss storage area excavation completed prior to any filling or construction alterations within flood plain area?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
ii.	As a registered land surveyor or professional engineer, by checking "Yes", I am verifying that the dimensions of the excavated area are consistent with the approved site plans.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

Print Name of Registered Professional:

Print License Number of Registered Professional:

Signature of Registered Professional:

A3	Has the owner complied with the terms and conditions of the FWW Permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
----	---	--	--

If you answered "No" to question A3, indicate any changes that were made here:

**Note:** If you answered "No" to question A3 you may be in violation of your Freshwater Wetlands Permit Conditions. You should contact the DEM Office of Customer & Technical Assistance for further information by calling (401) 222-6822.

A10	Does the permit require the planting of any trees and shrubs?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
A10a (B6)	Were the correct number and size of plantings installed in the proper location as detailed on the site plans?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
A10b	Indicate the date that planting was completed:		
A10c	Have all plantings been properly maintained and/or replaced if needed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

## Section B: DEM Permit General Conditions

Permit Requirement		If "No", corrective action is required. Specify action/date:	
[See RISEC Handbook Section Four: Soil Preparation & Topsoiling; Mulching; Seeding for Permanent Vegetative Cover; Section Five: Runoff Control Measures; Section Six: Inlet Protection]			
B1	Has permanent stabilization of all disturbed areas been achieved?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
B2	Have all temporary erosion control measures been removed? [Exception: control measures that decompose]	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

B3	Have soils been preserved, restored, and/or amended in the post-development landscape in order to provide a suitable growth medium?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B4	Have all bare spots been seeded and mulched?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B5	Is a uniform layer of turf or other vegetation present in all areas where vegetative stabilization measures are implemented?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B7	Have remaining signs of erosion been repaired?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B8	Are all entrance and exit points to the site restored according to the approved site plans?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B9	Did you check all drainage conveyances and outlets to ensure they were installed correctly and are fully operational?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B10	Are inlet areas clear, clean, and stabilized?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B11	Are swales, banks, and ditch bottoms well vegetated or stabilized with structural measures?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B12	Have all areas where runoff flows might converge or where high velocity flows are expected been stabilized?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B13	Have you removed temporary stream crossings; graded, seeded, or re-planted vegetation that was damaged or removed?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B14	Have all qualified pervious areas and surface infiltration treatment systems been restored to ensure infiltration capacity?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B15	Does your project include any permanent stormwater treatment systems (such as treatment basins, infiltration areas, piping systems, catch basins, culverts, swales, etc.)? If "N/A", skip to question B16	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
i.	Were surveys, checks and/or engineering tests performed to ensure that these systems were properly installed and are fully operational?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B16	Has a Stormwater Facility Maintenance Agreement been established between the owner and the parties responsible for inspections and maintenance of all permanent stormwater treatment systems? (See RI Stormwater Design and Installation Standards Manual: Appendix E)	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B17	As a registered land surveyor or professional engineer, by checking "Yes", I am indicating that the locations and elevations of all permanent stormwater management features have been installed as required in the approved site plans.	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

Print Name of Registered Professional:
Print License Number of Registered Professional:

Signature of Registered Professional:			
B18	Have subcontractors repaired their work areas before final closeout?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B19	Has the operator removed all construction debris and trash?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B20	Have all material and equipment staging areas been properly restored (including any evidence or spills or petroleum residues)?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	
B21	Have all RIPDES Construction General Permit Annual Fees been paid prior to requesting formal termination of the permit?	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> N/A	

<b>CERTIFICATIONS</b>		
<p><b>SITE INSPECTOR Certification:</b> <i>"I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I also certify under penalty of law that all disturbed soils at the construction site have reached final stabilization and temporary erosion and sediment control measures have been removed or all stormwater discharges associated with construction and development from the construction site authorized by the RIPDES Stormwater Construction General Permit have otherwise been eliminated. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations."</i></p>		
Print Inspector Name:	Inspector Signature:	Date:
<p><b>SITE OPERATOR Acknowledgment:</b> The Site Operator acknowledges the completion of this checklist, and understands the requirements set forth in the RIDEM RIPDES Construction General Permit.</p>		
Print Operator Name:	Operator Signature:	Date:
<p><b>SITE OWNER Acknowledgment:</b> The Site Owner acknowledges the completion of this checklist, and understands the requirements set forth in the RIDEM RIPDES Construction General Permit.</p>		
Print Owner Name:	Owner Signature:	Date: