

Document #2
Draft Regulations
As Revised per the Draft Public Hearing Document
February 2011

This document sets forth all proposed changes to Part VII, in the “clean” form that would result from acceptance of the proposed changes in Document #1.

The proposed changes set forth in Documents #1 and #2 are exactly the same; the two documents differ only in terms of format and presentation.

This is an initial draft, developed solely for the purpose of review and discussion via workshop.

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DEFINITIONS

For the purposes of these regulations, the following terms shall have the following meanings:

“Alewife” means *Alosa pseudoharengus*.

“American eel” means *Anguilla rostrata*.

“American shad” means *Alosa sapidissima*

“American plaice” (Dab) means *Hippoglossoides platessoides*.

“Anadromous fish” means any species of fish that ascends rivers or streams from the sea for breeding purposes, e.g. Examples include Alewife, salmon and shad.

“Approved” means approved by the Director.

“ASMFC” means Atlantic States Marine Fisheries Commission.

“Atlantic cod” means cod.

“Atlantic menhaden” means *Clupea harengus*.

Atlantic Sturgeon means *Acipenser oxyrinchus oxyrinchus*

“Bait fish” means any species of fish being pursued by bait gill netters, which includes, but may not be limited to, Alewife -- *Alosa pseudoharengus* and Menhaden -- *Brevoortia tyrannus*

“Bait Gill Net” means any gill net used in pursuit of bait species, i.e., menhaden, alewife, etc. There will be one (1) size of bait gill net permitted: Size No. 1. Lengths not to exceed one hundred (100) feet, no license required The net must be marked with the owner/operator's name and address and be constantly tended.

“Basking shark” means any species of fish known as *Cetorhinus maximus*

“Black sea bass” means *Centropristes striatus*.

“Blueback herring” means *Alosa aestivalis*.

“Bluefish” means *Pomatomus saltatrix*.

“Catadromous fish” means any species of fish that lives in fresh water, but must go to sea for breeding purposes. An example is American eel.

“Cod” means Atlantic cod, which means *Gadus morhua*.

“Codend” means the terminal section of a trawl net in which captured fish may accumulate; further defined as the last forty-five (45) rows of meshes in the terminal section of the net.

“Day” means the 24-hour day that begins at 12:00 AM and ends at 11:59 PM.

“Department” means the Rhode Island Department of Environmental Management.

“Division” means the Division of Fish and Wildlife, within the Rhode Island Department of Environmental Management.

“Dusky Shark” means that species of fish known as *Carcharhinus obscurus*.

“Finning of a Spiny Dogfish” means the act of taking a spiny dogfish or shark, removing

the fins, and returning the remainder of the spiny dogfish or shark to the sea.

“Fishery” means one or more stocks of fish which can be treated as a unit for purposes of conservation and management and which are identified on the basis of geographic, scientific, technical, recreational, and economic characteristics; and any fishing for such stocks. Examples of individual fisheries include, but are not limited to, those for summer flounder, scup, striped bass, black sea bass, tautog, winter flounder, bluefish, weakfish, and menhaden.

“Floating Fish Trap” means a floating trap, pound, stub, or other equivalent device set on, or in, or anchored to the bottom or shore for extended periods of time; for the purpose of catching fish.

Haddock means *Melanogrammus aeglefinus*

“Monkfish” (Goosefish) means *Lophius americanus*.

“Land or landing” means to enter port with fish on board, to begin offloading fish, or to offload fish.

“Menhaden” means *Brevoortia tyrannus*.

“Offload” means to remove fish from a vessel.

“Party/charter boat” means any vessel that carries passengers for hire to engage in fishing.

“Pollock” means *Pollachius virens*.

“Port” means any city or town with a harbor and docking facilities where vessels can land.

“Possession” means the exercise of dominion or control over the resource commencing at the time a decision is made not to return the resource to the immediate vicinity from which it was taken. The decision must be made at the first practical opportunity.

“Possession/landing limit” means the maximum amount of fish, shellfish, or crustaceans, generally established at a fishery- or species-specific level, that may be possessed or landed by a vessel or person in a given time period.

“Quota” means the maximum amount of fish, in weight or number, that can legally be landed within a given time period. A quota can apply to an entire fishery, or segment thereof, or to an individual fisherman or vessel.

“River herring” means alewives and/or blueback herring.

“Salmon” means *Salmo salar*.

“Sand Tiger Shark” means *Carcharias taurus*

“Scup” means *Stenotomus chrysops*.

“Season” means the time frame during the year when fishing may occur, pursuant to all applicable rules and regulations.

“Spiny dogfish” means *Squalus acanthias*.

“Striped bass” means *Morone saxatilis*.

“Summer flounder” (fluke) means *Paralichthys dentatus*.

Take or taking” means the process and each of the activities in that process undertaken to remove the resource from its natural habitat until the time at which possession begins.

“Tautog” (blackfish) means *Tautoga onitis*

“Total length” means the straight linear distance from the tip of the snout to the end of the tail.

“Transfer” means to convey, pass, or remove something from one person, place, and/or vessel to another.

“Trawl devices” mean any type of fishing apparatus drawn behind a vessel that consists of otter doors and/or ground cables, ropes, and/or netting. Examples include beam trawls, otter trawls, Scottish seines, and pair trawls.

“Trip” means a fishing voyage beginning with the departure from any port and terminating with the return any port, regardless of the duration of time.

“Vessel” means any watercraft, other than a seaplane on the water, that is used, or capable of being used, as a means of transportation on water.

“Weakfish” means *Cynoscion regalis*

“Week” means the 7-day/168-hour period beginning on Sunday at 12:00 AM and ending on the following Saturday at 11:59 PM.

“Winter flounder” (blackback flounder) means (*Pleuronectes americanus*)

“Witch flounder” (gray sole) means *Glyptocephalus cynoglossus*

“Yellowtail flounder” means *Limanda ferruginea*

General Provisions - COMMERCIAL REGULATIONS

I. Harvesting and Landing of Finfish for Commercial Purposes

- a. Finfish may only be commercially harvested and landed in Rhode Island by properly licensed individuals and, if vessels are employed, by properly declared vessels, pursuant to the terms and provisions of Chapters 20-2.1 and 20-4 and the rules and regulations promulgated pursuant thereto.
- b. It shall be unlawful to possess aboard or land from a vessel, more than the current possession limit, as given in Part III of these regulations.
- c. No person fishing commercially shall take, possess, sell, possess for sale, or offer for sale any finfish species managed by the state of Rhode Island that is less than the minimum size stated in this Part, whether caught within the jurisdiction of this state or otherwise.
- d. No one may transfer or attempt to transfer at sea, from one vessel to another, any finfish that are subject to the rules and regulations set forth in this Part.
- e. Any commercially harvested finfish sold in the state of Rhode Island must be sold at a dealer that holds a valid and applicable dealer’s license issued by the Department.
- f. Every vessel that commercially harvests and/or lands finfish in Rhode Island must maintain records of all landings of finfish dating back to January 1 of each year. The fishermen’s copy of the Vessel Trip Report logbook (aka “VTR”) for the vessel, or the fishermen’s copy of the RI Commercial Harvester Logbook for the vessel, must be used for this purpose. Such records must be kept current, must be kept aboard the vessel at all times, must be kept in numerical order, and must be presented to State or Federal Law Enforcement authorities upon request. At a minimum, such records must include: the dates on which any amount of finfish was landed, the amount and type of finfish landed on each occasion, and the names and addresses of the dealers where the landings took place. Such records must be consistent with the information provided to the Division by dealers.
- g. Net obstruction or constriction -- A fishing vessel subject to minimum mesh size restrictions shall not use any device or material, including, but not limited to, nets, net strengtheners, ropes, lines, or chafing gear, on the top of a trawl net, except that one splitting strap and one bull rope (if present), consisting of line and rope no more than 3 in (7.6 cm) in diameter, may be used if such

splitting strap and/or bull rope does not constrict, in any manner, the top of the trawl net. "The top of the trawl net" means the 50 percent of the net that (in a hypothetical situation) would not be in contact with the ocean bottom during a tow if the net were laid flat on the ocean floor. For the purpose of this paragraph, head ropes are not considered part of the top of the trawl net.

- h. It ~~is~~ shall be the responsibility of the licensed person in charge of a vessel harvesting species regulated in this section to call the DEM hotline for current harvesting and possession regulations.
- i. With the exception of Striped Bass and Winter Flounder, During the closure of a specific Rhode Island state fishery (ex: tautog), a federally permitted vessel fishing in the Exclusive Economic Zone (EEZ), may traverse Rhode Island waters for the purpose of landing that species in another state, provided their nets are stowed in accordance with Section 10.10 of Part 10 of these regulations.
- j. Violations of any of the provisions of these regulations shall be unlawful.

II. Quota Management: Modification of Possession/Landing Limits During Sub Periods, and Sub-Period Closures

With regard to all species-specific quota management programs set forth in this Part:

- 1. Any modifications made by the Division of Fish and Wildlife to the possession limit as set forth above will be promulgated in Part III, section 3.2.1-3.
- 2. With regard to all federally managed (42-35.19.E) The Division will monitor catch rates during each sub-period and, in consideration thereof, and of the time remaining in each sub-period, enact any necessary and appropriate modifications, as set forth below. Necessary and appropriate modifications will consider discussions with industry representatives, and, when available, advise from the Rhode Island Marine Fisheries Council.
- 3. If the Division, determines that an allocation for a sub-period is likely to be fully harvested prior to the end of the sub-period, the Division may adjust the possession/landing limit downward. If the quota for a sub-period allocation is fully harvested prior to the end of the sub-period, the Division will close the fishery for the remainder of the sub-period.
- 4. If the Division determines that an allocation for a sub-period is unlikely to be fully harvested by the end of the sub-period, the Division may adjust the possession/landing limit upward.

5. If the Division decides to modify a possession/landing limit or close a fishery in accordance with this sub-section, the Division will file a notice with the Secretary of State's Office, and the modification or closure will become effective upon filing. At least forty-eight hours prior to the time of modification, the Division will announce the modification or closure via one or more of the following public notice procedures: a faxed notice to dealers, a posting on the Department's website (marine fisheries page), a listserve-email announcement, and/or a voice recording placed on the Division's possession/landing limit phone line.

III. Quota Management: Modification of Sub-Period Allocations

With regard to all species-specific quota management programs set forth in this Part:

- I. Any unused portion of an allocation for a sub-period will be equally distributed to the allocation(s) for the remaining sub-period(s) in the same calendar year.
- II. Any over-harvest of an allocation for a sub-period will be equally deducted from the allocation(s) for the remaining sub-period(s) in the same calendar year.
- III. Any over-harvest of an allocation for a sub-period shall be deducted from the allocation for the same sub-period in the following calendar year, and the percentage deduction will be equally distributed to the allocations for the other sub-periods in the following calendar year.

General Provisions - RECREATIONAL REGULATIONS

- a. For all species except tautog, monkfish, and coastal sharks, the possession/landing limits are per person, not per vessel. For all species except tautog, monkfish, and coastal sharks, compliance with possession/landing limits aboard vessels will be determined by dividing the number of fish aboard the vessel by the number of licensed or exempt recreational fishermen aboard the vessel.
- b. No person fishing recreationally may possess or land a species outside of the designated season for that species.
- c. No person fishing recreationally may possess or land a species that measures less than the minimum fish size for that species. All minimum sizes pertain to

total length, i.e., the straight linear distance from the tip of the snout to the end of the tail, unless otherwise indicated.

- d. No fish taken recreationally may be sold or offered for sale.
- e. All recreational regulations set forth in this Part pertain to fish possessed and/or landed in Rhode Island waters, regardless of where the fish were caught. When fishing outside of RI State waters, fishermen are bound by the applicable regulations governing those waters, and upon entering RI state waters, are also bound by the regulations set forth in this Part.
- f. Possession Limits for Charter and Party Boats -- Compliance aboard vessels will be determined by dividing the number of fish on board a vessel by the number of recreational fishermen on board said vessel. Party and charter boats may obtain a State permit by showing proof of a U.S. Coast Guard License to conduct their fishery within the territorial waters of Rhode Island.

Minimum sizes, other species -- Except as specifically noted, no person shall possess or take any of the following species which are less than the following minimum size

AMERICAN PLAICE (DAB): Commercial and Recreational 14"

ATLANTIC STURGEON: Commercial and Recreational - no possession

EEL: Commercial and Recreational - 6"

HADDOCK: Commercial and Recreational - 19"

POLLOCK: Commercial and Recreational - 19"

WITCH FLOUNDER (GRAY SOLE): Commercial and Recreational 14"

YELLOWTAIL FLOUNDER: Commercial and Recreational 13"

Summer Flounder (Fluke) –

Recreational Regulations

Size and Possession –

Minimum Size: nineteen and one-half inches (19.5")

Possession Limit: six (6) summer flounder whether per person per day.

Season - May 1 through December 31.

Commercial Regulations:

Minimum Size: fourteen (14) inches.

Offloading: No summer flounder may be offloaded between the hours of 8pm – 6am.

Minimum Mesh Size – Otter trawlers that land or possess 100 pounds (45.4 kg) or more of summer flounder from May 1 through October 31; or 200 pounds (90.8 kg) or more of summer flounder per trip from November 1 through April 30, must fish with nets or combinations of nets that have a minimum mesh size of 5.5 inch (14.0 cm) diamond, or 6 inch (15.2 cm) square mesh applied throughout the body, extension(s) and codend portion of the net.

Summer Flounder Exemption Certificate -- No person shall possess, land, sell, or offer for sale in excess of two hundred (200) pounds of summer flounder *Paralichthys dentatus*, in any calendar day, in the State of Rhode Island or the jurisdictional waters of the state without a summer flounder exemption certificate issued by Fish and Wildlife and a valid Rhode Island commercial fishing license. Application for a summer flounder exemption permit must be received by Fish and Wildlife prior to January 1, 1997. Provided, however, that the two hundred (200) pound daily limit shall be waived, during the 2011 calendar year, for any vessel whose owner does not have a summer flounder exemption certificate but has been authorized for participation by the Director in an approved summer flounder sector allocation pilot program, conducted pursuant to section 7.7.11.

Moratorium on Applications for the issuance of new Summer Flounder Exemption Certificate:

After January 1, 1997, the Division of Fish and Wildlife will no longer accept applications for a summer flounder exemption certificate. After this date, a moratorium on the issuance of summer flounder exemption certificates will be in effect.

Transfer of Rhode Island Summer Flounder Certificate of Exemption-

A vessel may only be sold with all certificates, permits (state and federal) and history transferred together. For purposes of replacing the vessel may be sold without the certificates, permits (state and federal), and history. In instances when a vessel owner retains all certificates, permits, and history they must be applied to a replacement vessel owned by said individual within ninety (90) days.

(a) Change in ownership: A Certificate of Exemption is presumed to transfer with the vessel whenever it is bought, sold, or otherwise transferred, unless there is a written agreement, signed by the transferor/seller and transferee/buyer, or other credible written evidence, verifying that the transferor/seller is retaining the vessel's fishing and permit history for purposes of replacing the vessel. Individuals who purchase a vessel issued a Certificate of Exemption must supply credible written evidence verifying that they are the full owner of said vessel. Vessel ownership will be determined solely by an issued and

valid (unexpired) US Coast Guard documentation or state registration.

(b) Replacement Vessels: A vessel owner wishing to transfer a Certificate of Exemption issued by the Division must apply to the Division for approval and provide to the satisfaction of the Division of Fish and Wildlife proof that the applicant vessel is replacing a vessel which has been removed from the Summer Flounder Exemption Program (as described in 7.7.6)

(1) The vessel owner must supply credible written evidence verifying that the transferor/seller is retaining the vessel's Certificate of Exemption for purposes of replacing the vessel. If a vessel owner elects to sever the Certificate of Exemption from a vessel, the Certificate of Exemption may be transferred only to another vessel fully owned by said vessel owner. Proof of full ownership of both vessels is required. Vessel ownership will be determined solely by an issued and valid (unexpired) US Coast Guard documentation or state registration.

(2) Vessel permits (state and federal), Certificates of Exemption, and fishing history cannot be split.

(3) Certificates of Exemption may not be combined to create larger replacement vessels.

(4) The replacement vessel may not exceed a 10 percent increase in length overall (LOA), a 10 percent increase in gross registered tonnage (GRT) or net tonnage (NT), or a 20 percent increase in horsepower of the vessel's baseline specifications, as applicable.

(5) Vessel baseline specifications: The vessel baseline specifications in this section are the respective specifications (length, gross registered tonnage, net tonnage, horsepower) of the vessel that was initially issued a Certificate of Exemption.

(6) A vessel may be upgraded, whether through refitting or replacement, and be eligible to retain a Certificate of Exemption, only if the upgrade complies with the following:

- i. The vessel's horsepower may be increased only once, whether through refitting or replacement. Such an increase may not exceed 20 percent of the horsepower of the vessel's baseline specifications, as applicable.
- ii. The vessel's length, GRT, and NT may be increased only once, whether through refitting or replacement. Any increase in any of these three specifications of vessel size may not exceed 10 percent of the vessel's baseline specifications, as applicable. If any of these three specifications is increased, any increase in the other two must be performed at the same time. This type of upgrade may be done separately from an engine horsepower upgrade.

(c) Certificates of Exemption or permits may not be:

- (1) pledged, mortgaged, leased, or encumbered in any way;
- (2) transferred with any retained right of repossession or foreclosure, or any condition requiring a subsequent transfer; or
- (3) attached, distrained, or sold on execution of judgment.

Summer Flounder Aggregate Program:

For the winter and summer sub-periods, any vessel that possesses a valid federal summer flounder moratorium permit if harvesting summer flounder from federal waters and possesses a valid state of Rhode Island summer flounder exemption certificate; or, if harvesting exclusively in State waters, any vessel whose operator possesses a valid license to harvest and land summer flounder for commercial purposes in Rhode Island and possesses a valid state of Rhode Island summer flounder exemption certificate, may opt to apply to obtain a permit from the Division of Fish and Wildlife for the aggregate landing program for summer flounder during either the winter or summer sub period. Applicant vessels may participate in either the winter aggregate program, or the summer aggregate program, but not both, within the same year.

Qualified vessels are authorized to land summer flounder in any amount between 0 and the weekly trip limit in any calendar week. Total landings by qualified vessels may not exceed the weekly trip limit in any calendar week period. It shall be unlawful for the cumulative landings to exceed the weekly trip limit.

When 90% of the sub period quota has been harvested as determined by the Division of Fish and Wildlife (Division) the sub period aggregate program will terminate, and the possession limit will be per vessel per day for the remainder of the sub-period.

A vessel may commence the application process for obtaining a permit to participate in the program by downloading the application from DEMs website, or may obtain one directly from the Division of Fish and Wildlife (401-423-1927). The application must be filled out in its entirety and returned to the Division of Fish and Wildlife (3 Fort Wetherill Rd Jamestown, RI 02835). The application will be reviewed by the Division of Fish and Wildlife and the Division of Law Enforcement and upon acceptance, a permit will be issued to the applicant vessel to take effect the first Sunday after the permit is received.

An applicant vessel shall be considered eligible for a permit to participate in the aggregate landing program for summer flounder by demonstrating to the satisfaction of the Division of Fish and Wildlife and the Division of Law Enforcement that it satisfies each of the following criteria:

- (1) The vessel if harvesting summer flounder from federal waters possesses a valid federal summer flounder moratorium permit and possesses a valid state of Rhode Island summer flounder exemption certificate, or, if harvesting exclusively in State waters, the vessel's operator possesses a valid license to harvest or land summer flounder for commercial purposes in Rhode Island and possesses a valid state of

Rhode Island summer flounder exemption certificate;

(2) The vessel shall be operated by a person who possesses a valid multipurpose, principal effort, or landing license issued by the State of Rhode Island to land summer flounder for commercial purposes; and

(3) The vessel shall be operated by a licensed person who has not been assessed a criminal or administrative penalty in the past three years for: (a) a violation of the sections governing summer flounder or scup, or (b) more than one marine fisheries violation of any type.

The operator of the applicant vessel shall strictly adhere to each of the following requirements:

The subject vessel shall be permitted from the date the participant enters the program until the end of the sub period or until the participant terminates enrollment by providing written notice of the termination from the program, whichever first occurs. Said termination shall take effect no earlier than the Saturday following the receipt of the written notice by the Division.

No vessel shall possess simultaneously more than one aggregate landing program permit.

The information recorded by the SAFIS reporting system shall be documented by the Division of Fish and Wildlife and the Division of Law Enforcement for use in accounting for the amount of summer flounder landed by a specific vessel during a calendar week.

The State's copy of the Vessel Trip Report logbook or the reporting form provided by the Division of Fish and Wildlife shall be kept in numerical order on board the vessel and furnished upon request. The vessel must have all reports dating back to January 1st of the current year on board the vessel.

Non-compliance with the provisions of regulations governing the aggregate program shall subject both the owner and the operator to revocation of enrollment and participation in the commercial fisheries for the subsequent corresponding Winter I or Summer sub-period. If for any reason the corresponding sub-period does not exist by regulation, the privilege of the owner(s) and operator(s) to commercially harvest fish shall be suspended for the same time period that constituted the sub-period in which the violation occurred.

Additionally, the owner(s) and operator(s) shall be subject to the imposition of a penalty pursuant to the Rules and Regulations Governing the Suspension/Revocation of Commercial Marine Fisheries, Shellfish Buyer, Lobster Dealer, Finfish Dealer, and Multi-purpose Dealer, licenses issued pursuant to Title 20 of RIGL "Fish and Wildlife."

If the Division of Fish and Wildlife and/or the Division of Law Enforcement determine that there has been non-compliance with the provisions of these regulations governing the aggregate program the owner and/or operator of the permitted vessel shall be advised of such determination and the specific grounds therefore in writing by delivery of same by certified mail or by personal service upon the owner or operator in compliance with the requirements set out in Rule 4 of the Rhode Island Superior Court Rules of Civil Procedure. The determination shall specifically include notice that an opportunity for an adjudicatory hearing is available before the Administrative Adjudication Division pursuant to R.I. Gen. Laws Chapter 42-17.7 relative to the finding that sufficient evidence exists of non-compliance with the provisions of these regulations and/or a claim disputing the severity of the penalty imposed.

- (1) Any person seeking an adjudicatory hearing to contest an enforcement action must file said request in writing with the clerk of Administrative Adjudication Division, 235 Promenade Street, Providence, Rhode Island 02908 within twenty (20) calendar days of receipt of the contested agency enforcement action.
- (2) Any person who seeks an adjudicatory hearing relative to a determination to deny an applicant vessel a permit in the aggregate landing program for summer flounder must file said request in writing with the clerk of Administrative Adjudication Division, 235 Promenade Street, Providence, Rhode Island 02908 within thirty (30) calendar days of receipt of the contested agency action.

Commercial Quota: A total annual statewide quota for summer flounder will be established. It shall be the most recent allocation established for the State by the Atlantic States Marine Fisheries Commission (ASMFC) and/or the Secretary of the U.S. Dept. of Commerce and published in the Federal Register. In order to provide for the orderly harvest of the quota, and to allow for the continuation of a research program involving sector allocation, the State's summer flounder quota for 2011 shall be managed in accordance with the provisions set forth below:

A portion of the quota will be set aside for research purposes -- namely, a one-year sector allocation pilot program for 2011, administered in accordance with the terms and conditions set forth in sub-section 7.7.11 herein; and

The remaining portion of the quota will be made available for harvest by all fishermen holding valid and applicable commercial fishing licenses and/or landing permits, and who are not participating in the sector allocation pilot program, in accordance with the sub-period schedules, allocations, and possession limits set forth below.

Summer flounder Sub-periods and Possession Limits

Modification of Possession Limits: Any modifications made by the Division of Fish and Wildlife to the possession limit as set forth above will be promulgated in Part III, section 3.2.1-3.

For all sub-periods, any vessel that does not hold a valid summer flounder exemption certificate may not possess in excess of 200 pounds per vessel per day, or the current daily possession limit, whichever is less.

Winter Sub-Period: January 1 - April 30 annually.

Target allocation - 54% of the annual quota

Possession and Landing Limits:

January 1 through the Sunday of the first full week in February: 300lbs per vessel per day.

From the Sunday of the first full week in February until 90% of the winter sub-period allocation is harvested:

Vessels participating in the Winter Aggregate Program: 3,000 pounds per vessel per week. No vessel may possess or land, at any time or cumulatively, more than the weekly possession/landing limit during the 7-day period.

Vessels not in the winter aggregate program that hold a valid summer flounder exemption certificate: 500 pounds per vessel per day.

When 90% of the winter sub-period has been harvested, as determined by the Division, the winter aggregate program will terminate and the possession and landings limit shall be 300 pounds per vessel per day.

Summer Sub-Period: May 1 - October 31 annually.

Target allocation – 35% of the modified annual state quota.

Closed Days: From June 1 through October 31, no one may commercially possess, land, or sell summer flounder during Friday and Saturday of each week.

Possession/Landing Limits

- I. For vessels not enrolled in the aggregate landing program for summer flounder during the summer sub-period: 100 pounds per vessel per day.
- II. For vessels enrolled in the aggregate landing program for summer

flounder during the summer sub-period:

From May 1 through May 31: 100 pounds per vessel per day.

From June 1 (or on the first Sunday following June 1 if June 1 occurs on a Friday or Saturday) until the program ends for the summer sub-period: 500 pounds per vessel per fishing week -- i.e., per the 5-day period beginning on Sunday at 12:00 AM and ending on Thursday at 11:59 PM. No vessel may possess or land, at any one time or cumulatively, more than the applicable possession/landing limit during the 5-day period.

From the day after the program ends for the summer sub-period (if prior to October 31) until October 31: 100 pounds per vessel per day.

Fall Sub-Period: November 1 - December 31 annually.

Target allocation - 11% of the modified annual state quota.

Possession Limit: 600 pounds per vessel per day.

Research Set Aside

A portion of the State's summer flounder quota for 2011 will be allocated to a continuation of the sector allocation pilot program that began in 2009. The 2011 program, will continue to allow participation by all vessels, including those that do not have federal permits or RI summer flounder exemption certificates. The purpose of the program is to continue to assess the effectiveness and viability of a catch-share approach as an alternative to traditional quota management in the commercial summer flounder fishery. The Department will continue to undertake the assessment by carefully monitoring the program throughout the course of 2011, evaluating the short-term and potential long-term impacts of the program, including the impacts to non-participating licensed fishermen and prospective future license holders, and determining the extent to which the program meets the goals, principles and standards for marine fisheries management in Rhode Island, as set forth in the RI General Laws. The Department will provide weekly public updates of all landings made pursuant to the program; provide updates on the program to the RI Marine Fisheries Council at all Council meetings throughout 2011; report preliminary assessment findings to the Council at the first regularly scheduled Council meeting after October 1, 2011; and issue a final report to the Council at the first regularly scheduled Council meeting after February 1, 2012 or earlier if possible.

Participants in the program will be authorized to commercially harvest and land summer flounder in Rhode Island in any amount, up to the amount of the research set aside and the sector allocation(s) established pursuant thereto, at any time of the year, until the research set aside and the sector allocation(s) established pursuant thereto are fully

harvested; provided, however, that a total allowable catch limit will be established for a portion of the summer sub-period, i.e., from May 1 through September 15) (see subsection 7.7.11-5(h)). Participants will not be bound by daily or weekly possession limits, nor will participants be bound by sub-period closures. Participants will be prohibited from discarding any summer flounder, or parts thereof, that are fourteen (14) inches or greater in total length, unless damaged and unsellable, while engaged in the program. Participants will also be required to meet all other terms and conditions of the program, as set forth below.

The research set aside will involve an aggregate allocation for each approved sector. Each allocation will involve a “hard” total allowable catch (TAC), i.e., a specific and definitive amount of summer flounder, constituting the maximum aggregate amount of summer flounder that may be harvested by the participants in each sector. Any discards of sub-legal-sized fish, or damaged and unsellable legal-sized fish, will be deducted from the TAC.

The program may involve one or more qualified sectors, and each sector may involve any number of qualified participants; provided that the number of participants in each sector shall be greater than three (3). If no sector becomes qualified for participation in the pilot program, there will no research set aside for 2011.

All applications for recognition of a sector must be submitted no later than January 31, 2011.

Participants

Anyone may be deemed eligible to participate in the pilot program, provided they meet the following requirements:

- a. The principal participant must be the owner of a qualified vessel. To be qualified, a vessel must be either:
 - (1) licensed to commercially harvest summer flounder in federal waters and be operated by the holder of a RI license or landing permit allowing for the commercial harvest and/or landing of summer flounder in RI; or
 - (2) operated by the holder of a RI license allowing for the commercial harvest and landing of summer flounder in RI.

In addition, a qualified vessel must have verifiable history of commercial landings of summer flounder in Rhode Island during some or all of the five-year period 2004-2008,

- b. Secondary participants shall include any licensed vessel operators working on behalf of principal participants.
- c. A participant must not have been assessed a criminal or administrative penalty in the past three years for a violation of any state or federal law or regulation relating to marine fisheries.
- d. Participants must be formally organized as a sector, and each sector must meet all of the requirements set forth below.
- e. Participation in any sector shall not be approved for any more than one vessel that is owed by the same owner.

Sectors

Any group of three or more eligible participants may form a sector and apply for participation in the pilot program, provided the following requirements are met:

a. Each prospective sector must submit a Proposal to the Division, describing the group's intent relative to participation in the pilot program.

b. Each prospective sector must submit a fully executed Sector Contract to the Division. The Sector Contract must be signed by all participants, and must bind them to the terms and conditions of the Operations Plan. The Contract must hold the Sector and all participants in the sector jointly and severally liable for any violations of applicable State or Federal fishery laws and regulations for which any participant of that Sector has been found culpable by a duly authorized governmental entity.

c. Each prospective sector must submit an Operations Plan to the Division. The Operations Plan must contain at least the following elements:

1. A roster of all qualified vessels, vessel owners, federal permit numbers (if applicable), licensed vessel operators, and any other parties who will participate in the sector. The roster shall include all verifiable data on the commercial landings of summer flounder in RI for each vessel, during the five-year period 2004-2008, as well as any relevant information pertaining to the license and/or permit histories of the participants.
2. An acceptable plan detailing the rules the sector participants will agree to abide by in order to avoid exceeding the sector allocation (TAC), including detailed plans for enforcement of the Sector rules, as well as detailed plans for the monitoring and reporting of landings and discards. If applicable, the plan must describe whether the sector allocation will be sub-allocated to individual participants and, if so, in what amounts or percentages.
3. The name of, and contact information for, the Sector Manager, who will act as a designated agent for service of process and serve as overseer of the day-to-day operations of the sector.

Approval of Pilot Program Sectors by the Director

a. Evaluation criteria -- All proposals submitted to the Division will be reviewed and assessed by the Director in accordance with the following evaluation criteria:

1. Completeness — Does the proposal include a full description of the group's intent relative to participation in the pilot program? Does the proposal include a fully executed Sector Contract that comports with sub-section 7.7.11-2(b)? Does the proposal include an Operations Plan that meets all of the requirements set forth in sub-section 7.7.11-2(c)?

2. Accuracy — Are the landings data, and any relevant information pertaining to license/permit histories, provided in the proposal verifiable, consistent with data sources accessible by the Department and accurate?

3. Enforcement history — Have there been any criminal or administrative penalties

assessed within the past three years on any participant associated with the proposal?

4. Landings History — For how long, and in what amounts, have the proposed participants commercially landed summer flounder in Rhode Island?

5. Clarity and strength — Is the Operations Plan well developed and likely to provide for strong and effective management and control of the sector and its operations?

6. Fishery management goals — Is the proposal likely to contribute substantively and meaningfully to reductions in bycatch mortality, improved economic efficiency, sustainability, and safety at sea? Is the proposal likely to furnish quality data, information, and insight that will enable the Department to (i) assess the effectiveness and viability of a catch-share approach as an alternative to traditional quota management in the commercial summer flounder fishery, and (ii) determine the extent to which a sector-allocation program meets the goals, principles and standards for marine fisheries management in Rhode Island, as set forth in the RI General Laws?

7. Broad-based applicability and benefit — Is the proposal likely to furnish data, information, and insight that can be applied beneficially to other Rhode Island-based fishers, fisheries, and gear types in future years?

b. Final decision — Based on the Director's review of proposals undertaken pursuant to subsection (a) above, the Director shall select one or more sectors for participation in the pilot program. The selection will be announced publicly as soon as it is made, and the announcement shall include the names of all participating vessels, vessel owners, and license/permit holders, and the allocation (TAC) assigned to the sector(s). If the Director finds that no sector is qualified for participation in the pilot program, there will be no pilot program for 2011.

Determination of Sector Allocations and Research Set Aside

The Director will calculate the amount of the individual sector allocations as follows:

For each approved sector, the Director will sum the total commercial landings of summer flounder in Rhode Island for each and all participating vessels during each and all of the five years, 2004-2008 for the period January 1 through December 31, and label it "total sector catch." The Director will then determine the total commercial landings of summer flounder in Rhode Island by all commercial fishermen over the same 2004- 2008 period, from January 1 through December 31, and label it "total state catch." The Director will then divide the total sector catch by the total state catch to derive a final fractional share, reflecting the portion of the state summer flounder catch harvested by the participating vessels over the five-year period, 2004-2008 from January 1 through December 31.

Final adjusted sector allocations for pilot program: For each approved sector, the final adjusted allocation for the pilot program will be determined by applying the final fractional share for the sector to the State's overall summer flounder quota for 2011, and then subtracting from that amount the total amount of summer flounder landed commercially by the sector participants from January 1, 2011 until the date of the

Director's final decision per sub-section 7.7.11-3(b).

The Director will calculate the amount of the research set aside as follows:

If more than one sector is approved for the pilot program, the final adjusted sector allocations for each approved sector will be added. The sum total will constitute the amount of the research set aside for 2011. If only one sector is approved for the pilot program, the final adjusted sector allocation for that sector will constitute the amount of the research set aside for 2011.

Program Implementation — Terms and Conditions

- a. All sectors must utilize detailed tracking sheets, with single-vessel resolution, in a format pre-approved by the Division, to account for all landings and discards by all sector participants throughout the course of the program. Each sector manager shall be responsible for maintaining these records and providing them to the Division in a form and manner prescribed by the Division on a weekly basis throughout the year; on or before each Thursday, the complete weekly record of each participating vessel shall be filed with the Division for the preceding Sunday through Saturday period. Each sector manager shall notify the Division no later than forty-eight (48) hours after seventy-five percent (75%) of the sector allocation has been harvested, and then again no later than forty-eight (48) hours after ninety percent (90%) of the sector allocation has been harvested. All trip monitoring and reporting costs shall be borne by each sector, through the sector manager.
- b. All sectors must allow the Department to publicly disclose all landings and discards of summer flounder made by all participating vessels, on a single-vessel resolution basis.
- c. All participating vessels must agree to take any state or federally authorized observers at any time. Each sector, through the sector manager(s), must fund the full costs of any and all observer coverage requested or required by the Division or the National Marine Fisheries Service.
- d. All participating vessels must notify the DEM's Division of Law Enforcement (401-222-2284 or 222-3070) at least one hour prior, but not more than six hours prior, to all commercial landings in Rhode Island, regardless of whether or not such landings include summer flounder.
- e. All legal-sized summer flounder harvested during any and all sector-related fishing operations must be retained, landed, and counted against the sector allocation. All summer flounder discarded due to damage rendering the fish unsellable or sub-legal size must also be counted against each sector allocation.
- f. On the first of every month through April 1, the Division shall tally the total poundage of summer flounder discards reported by the sector participants and apply the net poundage from the preceding month to the remaining winter I sub-period quota for the general category commercial summer flounder fishery. If the sub-period has closed at the time of the tally, the net poundage shall be applied to the summer sub-period quota. On the first of every month beginning May 1 and continuing through October 1, the Division shall tally the total poundage of summer flounder discards reported by the sector participants and apply the net poundage from the preceding month to the remaining summer I sub-period quota for the general category commercial summer flounder fishery.

If the sub- period has closed at the time of the tally, the net poundage shall be applied to the winter II sub-period quota. On November 1 and December 1, the Division shall tally the total poundage of summer flounder discards reported by the sector participants and apply the net poundage from each preceding month to the remaining winter II sub-period quota for the general category commercial summer flounder fishery.

g. No vessel participating in the program shall fish commercially with gear that is designed to catch summer flounder, between June 1, and September 30, within one mile seaward of the southern Rhode Island coastline. This baseline is further defined as the shoreline running from Watch Hill to Point Judith, the mouth of Narragansett Bay running from Point Judith to Brenton Point, the south shore of Aquidneck Island, the mouth of the Sakonnet River running from Sachuest Point to Sakonnet Point, and the south shore of Little Compton to the Massachusetts state line.

h. A total allowable catch (TAC) limit will be established for the summer sub-period, beginning May 1 and continuing through September 15. The summer sub-period TAC shall be calculated as follows:

For each vessel participating in each sector, a summer sub-period allocation of 10,800 pounds is established. For each sector, the summer sub-period TAC shall be 10,800 pounds multiplied by the number of vessels participating in the sector.

i. Once a sector's allocation is met, sector operations will be terminated for the remainder of the year, and no vessel participating in the program, nor any licensed individual associated with such vessel, shall fish commercially with gear that is designed to catch summer flounder for the remainder of the year.

j. Once a sector's summer sub-period TAC is met, sector operations will be terminated for the remainder of the open portion of the summer sub- period, per subsection (h) above, and no vessel participating in the program, nor any licensed individual associated with such vessel, shall fish commercially with gear that is designed to catch summer flounder for the remainder of the open portion of the summer sub-period, per subsection (h) above.

k. Participating vessels must remain in the sector and abide by the terms and conditions of the sector throughout 2011. Any vessel that drops out of, or is expelled from, a sector will be prohibited from harvesting and landing summer flounder for the duration of 2011. The sector manager shall notify the Division no later than forty-eight (48) hours after any vessel drops out of, or is expelled from, a sector.

l. All license/permit holders and vessels participating in the sector allocation pilot program must adhere to all applicable rules and regulations governing commercial fishing operations, other than those set forth in section 7.7.1, including but not limited to mesh size restrictions, offloading hours, at-sea transfers, and reporting and record-keeping requirements. All license/permit holders and participating vessels will be subject to all State and Federal small mesh landing levels for summer flounder when fishing with small mesh. All license/permit holders and participating vessels will be allowed to fish south of the Southern New England DAS counting area for summer flounder when properly enrolled in the Small Mesh Exemption Program.

m. The authorization to participate in the pilot program is non- transferable. Only vessels authorized by the Director may participate in the pilot program during 2011.

n. It shall be the responsibility of each sector manager to oversee the day-to-day operations of the sector, ensure compliance with all of the terms and conditions

governing the sector, as set forth in these rules and regulations, and as detailed in the Sector Contract and Sector Operations Plan, and to serve as the primary point of contact with the Division.

Enforcement

If a sector exceeds its allocation, or if one or more participating vessels is found to be in violation of any of the terms and conditions set forth in these regulations, the sector will be declared null and void due to breach of contract and all participating vessels and license/permit holders will be subject to potential enforcement action, including but not limited to: confiscation of catch, prohibition on the harvest and landing of summer flounder for the remainder of the year, license and/or permit suspension or revocation, fines, and disqualification from participation in any future alternative management programs. All penalty provisions applicable to violations of marine fisheries laws and regulations will also be applicable to participants in the sector allocation pilot program.

Control Date

A control date of December 31, 2010 is established for the commercial summer flounder fishery in Rhode Island.

Winter Flounder (Blackback)

Recreational Regulations:

Minimum Size: Twelve (12) inches

Seasons and Possession/Landing Limits:

Narragansett Bay Closed Area: In Narragansett Bay north of the Colregs line, the harvest or possession of winter flounder is prohibited.

April Opening: Beginning on the fourth Saturday in April and continuing for thirty (30) days: two (2) fish per person per day.

September Opening: Beginning on the last Saturday in September and continuing for thirty (30) days: two (2) fish per person per day.

Commercial Regulations:

Minimum Mesh Sizes: Vessels that land or possess any amount of winter flounder must fish with nets that meet the following minimum mesh size specifications:

Gillnets: The minimum mesh size for gill nets is six and one half (6.5) inch diamond mesh or six and one half (6.5) inch square mesh applied throughout the net.

Bottom Trawl Nets: The minimum mesh size for bottom trawl nets is six (6) inch

diamond mesh or six and one half (6.5) inch square mesh applied throughout the body and extension of the net, or any combination thereof, and six and one half (6.5) inch diamond mesh or six and one half (6.5) inch square mesh applied to the codend of the net. For vessels greater than 45 ft in length overall, a diamond mesh codend is defined as the first 50 meshes counting from the terminus of the net, and a square mesh codend is defined as the first 100 bars counting from the terminus of the net. For vessels 45 ft or less in length overall, a diamond mesh codend is defined as the first 25 meshes counting from the terminus of the net, and a square mesh codend is defined as the first 50 bars counting from the terminus of the net.

Minimum Size: twelve (12) inches.

Commercial Seasons, Possession Limits, and Closed Areas --

Season: January 1 – December 31

Starting Possession Limit: 50 pounds per vessel per day.

Closed Areas: Harvest or possession of winter flounder in Narragansett Bay north of the Colregs line is prohibited. It is illegal for a trawl vessel to initiate trawling in Narragansett Bay north of the Colregs line and haul back south of the Colregs line-and possess winter flounder.

Transiting: Vessels fishing south of the Colregs line or in the Exclusive Economic Zone (EEZ) may traverse closed portions of Rhode Island waters for the purpose of landing winter flounder provided they are not fishing and nets are stowed in accordance with Section 10.10 of Part X of Rhode Island marine fisheries regulations.

Night Fishing: With the exception of gillnets and fyke nets, fishing for winter flounder in the following areas will be prohibited from one hour after sunset until one hour before sunrise: In waters north of the seaward entrance to all coastal salt ponds, including the area north of the seaward entrances to the Harbor of Refuge, the waters of Narrow River, and the waters of Little Narragansett Bay north of a line from Napatree Point to the western end of Sandy Point, including the waters of the Pawcatuck River. Gillnets and fyke nets may not be hauled from one hour after sunset to one hour before sunrise.

Tautog (Blackfish) –

Recreational Regulations:

Minimum Size: sixteen (16) inches

Seasons and Possession/Landing Limits

Possession Limits: While the fishery is open the possession limit shall be per person

as stated below, or a maximum of ten (10) fish per vessel, whichever amount is less.

Spring Sub-Period: Beginning on April 15th and continuing through May 31st: three (3) tautog per person per day.

June Closure: The recreational tautog fishery will be closed from June 1st through June 30th.

Summer Sub-Period: Beginning on July 1st and continuing through the Friday before the third Saturday in October: three (3) fish per person per day.

October Sub-Period: From the third Saturday in October through December 15: six (6) fish per person per day.

Licensed Party and Charter Boats –

Possession Limits: Compliance aboard licensed party/charter boats will be determined by dividing the number of fish onboard the boat by the number of recreational fishermen onboard the boat. Licensed party/charter boats are not subject to the ten (10) fish per vessel restriction that applies to the rest of the recreational fishery.

Reporting Requirements: Any licensed party/charter boat participating in the tautog fishery must report each trip targeting tautog into the eREC logbook (the electronic logbook hosted by ACCSP).

Spring Sub-Period: Beginning on April 15th and continuing through May 31st: three (3) tautog per person per day.

June Closure: The Party/Charter boat tautog fishery will be closed from June 1st through June 30th.

Summer Sub-Period: Beginning on July 1st and continuing through the Friday before the third Saturday in October: one (1) fish per person per day. Fall Sub-Period: From the third Saturday in October through November 30th: eight (8) fish per person per day.

Winter Sub-Period: Beginning December 1st and continuing through December 15th: one (1) fish per person per day.

Commercial Regulations

Minumum Size: sixteen (16) inches

Commercial Quota --A state quota for tautog will be established annually. To provide for an orderly harvest, the annual quota shall be managed in accordance with the sub-period provisions set forth in this part.

Season, Sub-periods, and Possession Limits:

Season: April 15th through December 31st.

Aggregate Possession Limit: The aggregate number of tautog on any vessel, regardless of how many licensed fishermen are onboard, may not exceed the current daily possession limit.

Spring Sub-Period:

Dates: April 15th through – May 31st.

Target Allocation: 33% of the annual state quota.

Starting Possession Limit: ten (10) fish per vessel per day.

Summer Sub-Period:

Dates: July 15th through – August 29th.

Target Allocation: 33% of the annual state quota.

Starting Possession Limit: ten (10) fish per vessel per day.

Fall/Winter Sub-Period:

Dates: October 15th through – December 31st.

Starting Possession Limit: ten (10) fish per vessel per day.

Closure of commercial fisheries managed under a federally mandated quota or allocation system. -- Any landing of a marine species managed under a quota or allocation system, or the taking or possession of any marine species, may be prohibited by the Director for a specified portion of the calendar year upon the finding by the Director that ninety percent (90%) or more of the annual quota is about to be harvested, and upon submission of a notice of closure to the Office of Secretary of State, and publication of the closure notice in a daily newspaper of statewide circulation.

Bluefish

Recreational Regulations

Minimum Size: No Minimum Size

Possession Limit: fifteen (15) fish per person per day

Season: Open year-round

Commercial Regulations

Commercial Quota -- A state quota for bluefish will be established annually. It shall be the most recent amount allocated to the State of Rhode Island by the Regional Fishery Management Councils and/or the Atlantic States Marine Fisheries Commission. To provide for an orderly harvest, the annual quota shall be managed in accordance with the sub-period provisions set forth in this part.

Minimum Size: No Minimum Size

Season, Sub-periods and Possession/Landing Limits

Season: January 1st – December 31st

Spring sub-period –

Dates: January 1 through June 30 annually.

Target Allocation - 50% of the annual state quota.

Summer-Fall Sub Period –

Dates: July 1 through December 31 annually.

Target Allocation - 50% of the annual state quota.

Possession/Landing Limits:

The division will monitor catch rates during each sub-period. If 50% of either sub-period quota is reached, Fish and Wildlife will determine if a possession limit of between 200 - 10,000 pounds should be established depending upon the time remaining in the quota period and the current catch rate.

Scup

Minimum Size – nine inches (9")

Commercial Quota -- An annual federal scup quota will be established by the ASMFC, and/or Secretary of the Department of Commerce and published in the Federal Register. A portion of the federal quota will be made available exclusively to Rhode Island for a May – October season. The Rhode Island portion of the quota will be divided into a floating fish trap allocation (60%) and a general category allocation (40%). To provide for the orderly harvest of the quota, the Department of Environmental Management has established the following sub-period allocations.

Sub-Periods

Winter Period I

Dates: January 1 – April 30

Possession and Landing Limits:

30,000 pounds per vessel per calendar week.

When 80% of the Winter I quota has been harvested, as determined by the National Marine Fisheries Service, the possession limit will be decreased to 1,000lbs per vessel per day.

Summer-Fall Period

FLOATING TRAPS Sixty percent (60%) of the Summer-Fall period quota will be allocated to the floating trap harvesting sector.

* “Floating Fish trap licensee” – for purposes of this section, fish trap licensee shall refer to a resident person or resident corporation currently issued a license pursuant to RI General Laws §20-5-2. The maximum possession limit per fish trap licensee shall be the amount set forth above regardless of the number of authorized trap locations, the number of vessels or the number of licensed fishermen who may be working for or may enter into contract with the fish trap licensee. While engaged in the operation of a fish trap, any licensed fisherman that may be working for or may enter into contract with the fish trap licensee waives any individual right to possess scup pursuant to a possession limit set out in RIMF Regulations Part 7.11.2-2.

During those years in which the Winter I federal coastwide scup quota allocation is completely exhausted prior to April 15, the floating fish trap quota will be available on April 15. During those years in which the Winter I federal coastwide scup quota allocation is not completely exhausted prior to April 15, the floating fish trap quota will be available on May 1.

Floating Fish Trap Licensees* will be required to report landings of scup to SAFIS every Monday, Wednesday, and Friday, of every week that the trap is in operation.

If the Division estimates that the Floating Fish Trap sector will not fully utilize its allocation prior to the end of the sub period, beginning on June 15, the Division has the authority to move the designated Floating Fish Trap sector allocation in to the general category fishery as set forth in this part. The Division will consult with the Floating Fish Trap Licensees or their designee prior to enacting any allocation roll over, and will maintain written correspondence in

the form of a letter on file as proof of said consultation.

If there is noncompliance with the reporting requirements as set forth above, the Floating Fish Trap Licensees* will default to the program as set forth below:

The possession limit will be 25,000 pounds per floating fish trap licensee* per calendar day. Once ninety percent (90%) of the sub-period allocation is projected to be harvested, the possession limit will be 5,000 pounds per fish trap licensee per calendar day until one hundred percent (100%) of the quota has been harvested.

If the Floating Fish Trap Licensees* are found to be out of compliance with the reporting regulations as set forth above, the Licensees will be notified and a notice will be filed with the Secretary of States Office.

General Category scup fishery (gear types other than floating fish traps) – Forty percent (40%) of the Summer- Fall period quota will be allocated to all gear types except floating fish traps. The quota allocated to the General Category sector will be available during the following sub-periods.

General Category Compliance:

- a. The information recorded by the SAFIS reporting system shall be documented by the Division of Fish and Wildlife and the Division of Law Enforcement for use in accounting for the amount of scup landed by a specific vessel during a calendar week.
- b. The State's copy of the Vessel Trip Report logbook or the reporting form provided by the Division of Fish and Wildlife shall be kept in numerical order on board the vessel and furnished upon request. The vessel must have all reports dating back to May 1st on board the vessel.
- c. Non-compliance with the provisions of regulations shall subject both the owner and the operator to revocation of participation in the commercial fisheries for the subsequent corresponding Sub Period fishery. If for any reason a corresponding Sub Period fishery does not exist by regulation, the privilege of the owner(s) and operator(s) to commercially harvest fish shall be suspended for the same time period that constituted the sub-period in which the violation occurred. Additionally, the owner(s) and operator(s) shall be subject to the imposition of a penalty pursuant to the Rules and Regulations Governing the Suspension/Revocation of Commercial Marine Fisheries, Shellfish Buyer, Lobster Dealer, Finfish Dealer, and Multi-purpose Dealer, licenses issued pursuant to Title 20 of RIGL "Fish and Wildlife".
- d. If the Division of Fish and Wildlife and/or the Division of Law Enforcement determine that there has been non-compliance with the provisions of these regulations the owner and/or operator of the vessel shall be advised of such

determination and the specific grounds therefore in writing by delivery of same by certified mail or by personal service upon the owner or operator in compliance with the requirements set out in Rule 4 of the Rhode Island Superior Court Rules of Civil Procedure. The determination shall specifically include notice that an opportunity for an adjudatory hearing is available before the Administrative Adjudication Division pursuant to R.I. Gen. Laws Chapter 42-17.7 relative to the finding that sufficient evidence exists of non-compliance with the provisions of these regulations and/or a claim disputing the severity of the penalty imposed.

- (1) Any person seeking an adjudicatory hearing to contest an enforcement action must file said request in writing with the clerk of Administrative Adjudication Division, 235 Promenade Street, Providence, Rhode Island 02908 within twenty (20) calendar days of receipt of the contested agency enforcement action.
- (2) Any person who seeks an adjudicatory hearing must file said request in writing with the clerk of Administrative Adjudication Division, 235 Promenade Street, Providence, Rhode Island 02908 within thirty (30) calendar days of receipt of the contested agency action.

Spring Sub Period: One-third (1/3) of the General Category quota will be available during the Spring Sub Period, defined as May 1 until the first Sunday in July. The possession limit will be reduced at the discretion of the Division. The fishery will close once the entire period allocation is projected to be harvested.

Beginning May 1 any vessel authorized to land scup for commercial purposes in Rhode Island is authorized to land scup in any amount between 0 and 1,000 pounds in any calendar week period during the Spring Sub Period so long as the total landed by that vessel does not exceed 1,000 pounds in any calendar week period. The calendar week period shall begin on Sunday at 12:00 AM and ends on the following Saturday at 11:59 PM. When the Spring Sub Period scup quota has been harvested as determined by the Division of Fish and Wildlife (Division) the fishery will close. The fishery will restart on the first Sunday in July, and will be administered as set forth in this part. The Division may adjust the possession limit during the Spring Sub Period based on the current catch rate and advice from fishing industry representatives and the Rhode Island Marine Fisheries Council.

Summer Sub Period: One-third (1/3) of the General Category quota will be available from the first Sunday in July until the third Sunday in September.

- a. Beginning the first Sunday in July any vessel authorized to land scup for commercial purposes in Rhode Island is authorized to land scup in any amount between 0 and 700 pounds in any calendar week period during the

Summer Sub Period so long as the total landed by that vessel does not exceed 700 pounds in any calendar week period. It shall be unlawful for the cumulative landings to exceed the weekly trip limit. When the Summer Sub Period scup quota has been harvested as determined by the Division the fishery will close. The fishery will restart on the third Sunday in September, and will be administered as set forth in this part.

Fall Sub Period: One-third (1/3) of the General Category quota will be available from the third Sunday in September through October 31. The Division may increase the possession limit if they project the entire quota will not be harvested by the end of the sub-period. The DFW will have the discretion of adjusting trip limits upwards or downwards as necessary.

- a. Beginning the third Sunday in September any vessel authorized to land scup for commercial purposes in Rhode Island is authorized to land scup in any amount between 0 and 700 pounds in any calendar week period during the Fall Sub Period so long as the total landed by that vessel does not exceed 700 pounds in any calendar week period. It shall be unlawful for the cumulative landings to exceed the weekly trip limit. When the Fall Sub Period scup quota has been harvested as determined by the Division of Fish and Wildlife (Division) the fishery will close. The fishery will restart at the beginning of the Winter 2 fishery as set forth in this part.

Winter Period II (November- December) -- possession and landing limit is 2,000 pounds, decreasing to 500 pounds once 70% of the period quota is landed.

Recreational

Minimum Size: ten and one half inches (10.5") total length.

Possession Limit: ten (10).

Season: May 24 through September 26 annually.

Party/Charter Regulations: While fishing on a party or charter boat, no person shall possess scup less than eleven inches (11") total length.

Possession Limit:

June 8 through September 6 – Ten scup (10) per person per day

September 7 through October 11 – Forty scup (40) per person per day.

Atlantic Sturgeon –

Moratorium on Harvest: No harvest or possession of Atlantic Sturgeon will be

permitted within the territorial waters of the State of Rhode Island until further notice.

Black Sea Bass

Commercial

Minimum Size -- eleven (11) inches

Commercial Quota -- A state quota for black sea bass will be established annually and shall be the most recent amount allocated to the State of Rhode Island by the Atlantic States Marine Fisheries Commission and/or the Secretary of the U.S. Department of Commerce as published in the Federal Register. To provide for an orderly harvest, the annual quota shall be managed in accordance with the sub-period provisions set forth in this part:

Seasons, Sub-Periods, and Possession Limits:

Season: January 1st through December 31st

Winter I Sub-Period:

Dates: January 1 – April 30

Target Allocation: Twenty-five percent (25%) of the annual state quota.

Starting Possession Limit: 750 pounds per vessel per day.

Summer I Sub-Period:

Dates: May 1 – June 30

Target Allocation: Twenty-five percent (25%) of the annual state quota.

Starting Possession Limit: 50 pounds per vessel per day.

Summer II Sub-Period:

Dates: July 1 – October 31

Target Allocation: Thirty-nine (39%) of the annual state quota.

Starting Possession Limit: 50 pounds per vessel per day.

August Closure: The commercial black sea bass fishery will be closed from August 1 through August 31.

Winter II Sub-Period:

Dates: November 1 – December 31

Target Allocation: Eleven percent (11%) of the annual state quota.

Starting Possession Limit: 250 pounds per vessel per day.

Possession Limit Adjustments – The possession limits may be modified by the Division of Fish and Wildlife, who, after discussions with fishing industry representatives, will determine, based upon the period of time remaining in the quota period and the current catch rate, whether the quota will be reached prior to the end of the sub-period.

Recreational Harvest

Minimum Size -- twelve and one half inches (12.5")

Possession Limit: twenty-five (25) fish per person per day.

Season – May 22 through December 31.

Summer – Fall Sub-Period: May 22nd through October 11th.

October Closure: The season will be closed from October 12 through October 31.

Winter Sub-Period: November 1 to December 31, 2010.

Spiny dogfish:

Commercial Quota: Rhode Island is currently designated as a state that is part of the Northern region of the Spiny Dogfish FMP. A Northern region quota for spiny dogfish will be established annually and shall be the most recent allocation by the Atlantic States Marine Fisheries Commission (ASMFC) and/or the Secretary of the U.S. Department of Commerce as published in the Federal Register.-When notified that the quota in the Northern region has been harvested, or projected to be harvested, as determined by the National Marine Fisheries Service or the Atlantic States Marine Fisheries Commission, the Division of Fish and Wildlife shall file a notice with the Office of the Secretary of State prohibiting the commercial landings, harvest and possession of spiny dogfish in state waters for the remainder of the designated period.

Season and Possession Limits:

Season: The commercial season shall extend from May 1 until April 30 of the following year.

Starting Possession Limit: 3,000 pounds per vessel per day.

Prohibition of Finning – Finning is defined as the act of taking a spiny dogfish, removing the fins, and returning the remainder of the spiny dogfish to the sea. Finning spiny dogfish shall be prohibited in all state waters. During commercial harvest, fins may be removed at sea, but the corresponding carcass must be retained. All fins and carcasses must be landed at the same time and in the same location. Vessels that land spiny dogfish must land fins in proportion to carcasses, with a maximum 5% fin to carcass ratio, by weight.

American Eel

Recreational:

Minimum Size: six inches (6”).

Possession Limit: fifty (50) American eel per person per day.

Commercial:

Season: January 1st through December 31st

Minimum Size: six inches (6”)

Possession Limit: None

American Shad – The commercial harvesting, landing, or possession of American Shad (*Alosa sapidissima*) within the State of Rhode Island and its territorial waters is prohibited.

Weakfish

Minimum size: sixteen inches (16”)

Directed Commercial Fishery:

Minimum Mesh Size: For the directed trawl fishery, the codend mesh size must be at least ≥ 4.5 ” diamond or 4.0” square.

Sub-periods and Starting Possession Limits

Summer Sub-Period:

Dates: June 1 through June 30

Possession Limit: 100 pounds per vessel per day.

Fall Sub-Period:

Dates: August 7 through November 8.

Possession Limit: 100 pounds per vessel per calendar day.

Non-directed Commercial Fishery: At all times other than during the fall and summer sub-periods, it shall be unlawful to possess or land more than 100 pounds of weakfish as bycatch per vessel per day. It shall also be unlawful to possess aboard or land from a vessel any amount of weakfish, up to 100 pounds, where there is not at least an equal poundage of other species on board the vessel. Provided, however, that the commercial hook and line fishery is not permitted a bycatch allowance.

Recreational

Minimum Size: sixteen inches (16")

Season and Possession Limits

Season: January 1 through December 31

Possession Limits: one (1) fish per person per day

Atlantic Herring (*Clupea harengus*)

Commercial

Season and Possession Limits – The season for Atlantic herring begins annually on January 1. The possession limit is unlimited unless otherwise indicated in this section. When the Atlantic Herring quota has been harvested as determined by the National Marine Fisheries Service (NMFS), the season will close.

River Herring – No person shall land, catch, take, or attempt to catch or take any alewives, *Alosa pseudoharengus* or blueback herring *Alosa aestivalis*, from any marine waters of the State of Rhode Island. Possession of any alewives or blueback herring at any time is prohibited and shall be evidence that said herring was taken in violation of this section.

Monkfish

Commercial:

Minimum Size -- seventeen inches (17") total length or eleven inches (11") tail length.

Non-federally Permitted RI Vessels:

Possession Limits – For any non-federally permitted RI licensed vessel, the possession limit will be 550 pounds tail weight or 1,826 pounds whole weight of monkfish per vessel per calendar day.

The annual Rhode Island monkfish quota shall be equal to one percent (1%) of the annual federal southern New England monkfish quota. When the state water quota has been harvested as determined by the Division, the Director shall close the state water monkfish fishery.

Federally Permitted Vessels:

Vessels in possession of a federal permit allowing the harvest of monkfish may harvest monkfish in state waters if and only if they are operating during a previously and properly declared day-at-sea; in such instances, such vessels may harvest monkfish in the amount authorized to be possessed pursuant to federal regulations, and thus not be bound by the possession limits set forth in this section.

Recreational:

Minimum Size -- seventeen inches (17”) total length or eleven inches (11”) tail length

Possession Limits – 50 pounds tail weight or 166 pounds whole weight

Cod:

Commercial:

Minimum size -- twenty-two inches (22”)

Possession Limits –

Non-federally permitted vessels: 1,000 pounds of cod per vessel per calendar day.

The RI state cod quota shall be equal to 1% of the federal New England Georges Bank cod quota. When 90% of the state water quota has been harvested as determined by the Division of Fish and Wildlife, the possession limit will decrease to 75 pounds of cod per vessel per calendar day. When the state water quota has been harvested as determined by the Division, the Director shall close the state water cod fishery.

Federally Permitted Vessels: Vessels in possession of a federal permit allowing the harvest of cod may harvest cod in state waters if and only if they are operating

during a previously and properly declared day-at-sea or other applicable federal restrictions; in such instances, such vessels may harvest cod in the amount authorized to be possessed pursuant to federal regulations, and thus not be bound by the possession limits set forth in section 7.22.1-2.

Recreational:

Minimum Size -- twenty-two inches (22")

Possession Limits – ten (10) cod per person per day.

Transiting: Vessels in possession of a federal permit allowing the recreational harvest of cod may transit state waters in possession of cod in the amount authorized to be possessed pursuant to applicable federal regulations and land the same if so permitted pursuant to Rhode Island State Law, so long as all of the fish harvesting gear on board the vessel is stowed while in state waters.

Striped Bass:

Penalties -- Any person, firm, or corporation shall be fined not more than fifty dollars (\$50) for each striped bass taken, possessed, sold, possessed for sale, or offered for sale in violation of the provisions of this Chapter. In addition to the fine, the fish trap license of the person, firm, or corporation violating the second provision of § 20-4-12 of this Chapter shall be suspended for a period of one (1) year. (RIGL 20-4-11)

Recreational Regulations

Minimum Size: 28 inches

Possession/Landing Limit: Two (2) fish per person per day

Season: Year-Round

Commercial Regulations:

Minimum Size: thirty four (34) inches.

Tagging: No striped bass shall be sold in Rhode Island without an identification tag provided by Fish and Wildlife. Fish and Wildlife will designate tagging agents. Tagging agents will keep and maintain the required forms and logs specified by Fish and Wildlife.

Quota Management: A state quota for striped bass will be established annually. It shall be the most recent amount allocated to the State of Rhode Island by the ASMFC and/or the Secretary of the U.S. Department of Commerce as published in the Federal Register. In order to provide for the orderly harvest of the quota, it shall be managed in accordance

with the provisions set forth below.

General Category:

Allocation: No more than sixty-one percent (61%) of Rhode Island's annual allocation shall be available for harvest.

Gill Net Prohibition for Striped Bass -- No person shall take or possess any striped bass while gill netting, or while hauling a gill net.

Season: June 6th – December 31st

Summer Sub-Period: Seventy-five percent (75%) of the general category quota shall become available on June 6th. The possession/landing limit will be five (5) fish per vessel per calendar day. The fishery will be closed during each calendar week from 12:00AM Friday until 11:59PM Saturday during this sub-period. The fishery will remain open until August 31, or until the sub-period quota is fully harvested, as determined by the Division, whichever occurs first.

Fall Sub-Period: Twenty-five percent (25%) of the general category quota shall become available on September 13th. The possession/landing limit will be five (5) fish per vessel per calendar day. The fishery will be closed during each calendar week from 12:00AM Friday until 11:59PM Saturday during this sub-period. The fishery will remain open until December 31st, or until the sub-period quota is fully harvested, as determined by the Division, whichever occurs first.

Floating Fish Trap:

Allocation: No more than thirty-nine percent (39%) of Rhode Island's annual allocation shall be available for harvest and market by licensed floating trap net companies from January 1 through December 31, annually.

Minimum Size: twenty-six inches (26") in total length.

Record Keeping and Reporting Requirements: All trap net companies shall keep daily records of striped bass landings. Weekly summaries of daily landings shall be submitted to Fish and Wildlife.

Floating Fish Trap operators will be required to report landings of striped bass to SAFIS every Monday, Wednesday, and Friday, of every week that the trap is in operation.

Noncompliance: If there is noncompliance with the reporting requirements as set forth above, the possession limit will be set to 500 pounds per fish trap licensee per calendar day once eighty percent (80%) of the annual allocation is projected to be harvested.

Menhaden:

Prohibition on the Harvesting of Menhaden for Reduction Processing -- The taking of menhaden for reduction (fish meal) purposes is prohibited in Rhode Island waters. A vessel will be considered in the reduction (fish meal) business if any portion of the vessel's catch is sold for reduction.

Inspection and Reporting RequirementsInspection of vessels:

Prior to the commencement of fishing, each vessel must be inspected by a certified marine surveyor and assessed with regard to its fish storage capacity. A document reflecting the assessment must be kept aboard the vessel at all times.

Inspection of purse seine nets:

Annually, prior to use, all purse seine nets shall be inspected and certified as being in conformance with the provisions of this section by the DEM Division of Law Enforcement. Once inspected and certified, a net may be used throughout the duration of the calendar year in which it was inspected, provided that it is not altered with regard to any of the provisions of this section. Any net that is altered with regard to any of the provisions of this section must be re-inspected and recertified prior to use.

Reporting Requirements:

Any fisher intending to engage in the commercial menhaden fishery shall notify the DEM Division of Law Enforcement (DLE) at (401) 222-3070 prior to taking or coming into possession of menhaden in the management area. At the time that a fisher advises the DLE of his/her intent to harvest menhaden, the DLE shall notify said fisher of any modification which may have been established in the possession limit for menhaden. Each commercial menhaden fisher shall also contact the DLE at (401) 222-3070 at the end of each trip to report the amount of menhaden in possession by the fisher in pounds and area fished.

Narragansett Bay Menhaden Management Area – Narragansett Bay, in its entirety, is designated a Menhaden Management Area. The area shall include the east and west passages of Narragansett Bay, Mt. Hope Bay, and the Sakonnet River, and be bordered on the south by a line from Bonnet Point to Beavertail Point to Castle Hill Light. The southern boundary further extends from Land's End to Sachuest Point and then to Sakonnet Light.

Gear Restrictions --The use of purse seines shall be permitted only in accordance with the following terms and conditions:

- (A) All nets shall be less than 100 fathoms (600 feet) in length and less than 15

fathoms (90 feet) in depth.

(B) All nets shall be marked with fluorescent-colored float buoys, distinguishable from the other float buoys on the net, at intervals of 50 feet.

Vessel Restrictions – When engaged in the commercial menhaden fishery, vessels may not have a useable fish storage capacity greater than 120,000 pounds. Any vessel with a fish storage capacity greater than 120,000 pounds may only engage in the fishery if the excess capacity is rendered unusable in accordance with the specifications set forth in the assessment.

Possession Limits

(A) When the estimated standing stock of Menhaden reaches 2 million pounds, the commercial menhaden fishery will open with a possession limit 80,000 pounds per vessel per calendar day. When the estimated standing stock of menhaden in the management area reaches 3,000,000 pounds, the possession limit shall increase to 120,000 pounds per vessel per calendar day, per section 16.2.5. The possession limits may be modified by the DEM Division of Fish & Wildlife (DFW) on the basis of the estimated weekly standing stock of menhaden in the management area derived, in accordance with section 16.2.5, via approved scientific monitoring methods.

(B) No commercial menhaden fisher shall possess menhaden or otherwise engage in the taking of menhaden anytime on Saturday, Sunday, on any official state holiday, or prior to sunrise or following sunset.

Opening/Closure of Fishery Based on Biomass Estimates –

(A) Biomass “Floor.” On an annual basis beginning every spring, DEM, utilizing approved scientific monitoring methods, shall conduct regular estimates of the weekly standing stock of menhaden present in the management area. On the basis of those estimates, DEM shall issue a notice when the estimated weekly standing stock reaches a threshold of 1,500,000 pounds, and the DFW shall open the commercial fishery, at an initial possession limit of 80,000 pounds per vessel per calendar day, when the estimated weekly standing stock reaches 2,000,000 pounds. If the estimated weekly standing stock reaches a threshold of 3,000,000 pounds, the DFW shall increase the possession limit to 120,000 pounds. If subsequent stock estimates fluctuate above or below 3,000,000 pounds, the DFW shall adjust the possession limits accordingly. If, at any time, the stock estimate drops below 1,500,000 pounds, the DFW shall close the commercial fishery until further notice.

(B) Biomass “Ceiling.” When 50% of the estimated weekly standing stock of menhaden stock present in the management area, above the minimum threshold

amount of 1,500,000 pounds, is harvested, the DFW shall close the menhaden fishery until further notice.

Seasonal Closures -- The following areas are seasonally closed to purse seining for menhaden:

- Providence River: The Providence River, north of a line from Conimicut Point to Nayatt Point, is closed to all harvesting of menhaden with purse seine gear between sunrise August 15 and sunrise January 1 annually.
- Hope Island Management Area: The Hope Island Management Area is closed to all harvesting of menhaden with purse seine gear from sunrise January 1 to sunrise August 15 annually. The Hope Island Management Area is encompassed by the following boundary lines: A line from the southern tip of Warwick Point to the northwest point on Patience Island; a line from the eastern point on Patience Island, east to Prudence Island, south along the western shore line of Prudence Island, to the southernmost tip of Prudence Island, then to the northernmost point on Jamestown, northwest from the northern tip of Jamestown to the eastern tip of the carrier pier at Quonset Point and then north along the shoreline from the carrier pier north to southern tip of Warwick Neck.

Permanent Closures -- The following areas are permanently closed to purse seining for menhaden:

Providence River

- In the Providence River, all waters north of a line along the hurricane barrier.
- All waters east of the east line of the Providence River navigation channel, south to the northwest corner of the Getty fuel dock on the East Providence shore in the Boyden Heights area.
- On a line from Pomham Rocks to Sabin Pt. and then along a line to BP thence along a line from BP to the tower at Nayatt Point.
- On the west side of Providence River, all of Pawtuxet Cove and all waters inside the dike.
- All waters in the Fields Point area north of a line running from Arnold Ave. on the West Shore to C"39" on the east thence running north to the easternmost extreme tip of Fields Point.

Coastal Sharks

Commercial

Commercial Species Groupings - Species managed under the Atlantic States Marine Fisheries Commission (ASMFC) Interstate Fishery Management Plan (IFMP) for Atlantic Coastal Sharks shall be grouped into six commercial "species groups" for management purposes, hereafter referred to as: Prohibited Species, Research Species, Smooth Dogfish, Small Coastal Sharks, Non-

Sandbar Large Coastal Sharks, and Pelagic Sharks. These groupings apply to all commercial shark fisheries in state waters.

Commercial Fishing Year - The commercial shark fishery shall operate on a January 1 through December 31 fishing year.

Commercial Possession Limits – Possession limits, quotas, and seasonal periods for commercial shark fisheries will be established annually either through the National Marine Fisheries Service (NMFS) or the Atlantic States Marine Fisheries Commission (ASMFC). In accordance therewith:

- a. Properly licensed commercial fishermen may possess any of the species of sharks listed in Table 7.1 below in the Smooth Dogfish, Small Coastal Sharks, Non-Sandbar Large Coastal Sharks and Pelagic Sharks species groups.
- b. There are no commercial trip limits or possession limits for Smooth Dogfish, or for the sharks listed in the Small Coastal Sharks and the Pelagic Species groups.
- c. No person shall possess more than 33 sharks, per vessel per calendar day, regardless of species, from the Non-Sandbar Large Coastal Sharks species group.

Table 7.1 Sharks in the Smooth Dogfish, Small Coastal Sharks, Non-Sandbar Large Coastal Sharks, and Pelagic Species Groups.

Common Name	Scientific Name
Smooth dogfish	
Smooth Dogfish	<i>Mustelus canis</i>
Small Coastal Sharks (SCS)	
Atlantic sharpnose	<i>Rhizoprionodon terraenovae</i>
Finetooth	<i>Carcharhinus isodon</i>
Blacknose	<i>Carcharhinus acronotus</i>
Bonnethead	<i>Sphyrna tiburo</i>
Non-Sandbar Large Coastal Sharks (LCS)	
Silky	<i>Carcharhinus falciformis</i>
Tiger	<i>Galeocerdo cuvier</i>
Blacktip	<i>Carcharhinus limbatus</i>
Spinner	<i>Carcharhinus brevipinna</i>
Bull	<i>Carcharhinus leucas</i>
Lemon	<i>Negaprion brevirostris</i>
Nurse	<i>Ginglymostoma cirratum</i>

Scalloped hammerhead	<i>Sphyrna lewini</i>
Great hammerhead	<i>Sphyrna mokarran</i>
Smooth hammerhead	<i>Sphyrna zygaena</i>
Pelagic Sharks	
Shortfin mako	<i>Isurus oxyrinchus</i>
Porbeagle	<i>Lamna nasus</i>
Common thresher	<i>Alopias vulpinus</i>
Oceanic whitetip	<i>Carcharhinus longimanus</i>
Blue	<i>Prionace glauca</i>

Transfer of Sharks - No person shall transfer sharks between vessels at sea.

Prohibition on the Possession of Sharks in the Prohibited and Research Species Groups – No person shall possess any species of sharks listed in Table 7.2 below in the Prohibited Species and Research Species groups, except in accordance with the provisions of section 7.24.1-8.

Table 7.2 Sharks in the Prohibited and Research Species Groups

Prohibited Species Group	
Common Name	Scientific Name
Sand tiger	<i>Carcharias taurus</i>
Bigeye sandtiger	<i>Odontaspis noronhai</i>
Whale	<i>Rhincodon typus</i>
Basking	<i>Cetorhinus maximus</i>
White	<i>Carcharodon carcharias</i>
Dusky	<i>Carcharhinus obscurus</i>
Bignose	<i>Carcharhinus altimus</i>
Galapagos	<i>Carcharhinus galapagensis</i>
Night	<i>Carcharhinus signatus</i>
Reef	<i>Carcharhinus perezii</i>
Narrowtooth	<i>Carcharhinus brachyurus</i>
Caribbean sharpnose	<i>Rhizoprionodon porosus</i>
Smalltail	<i>Carcharhinus porosus</i>
Atlantic angel	<i>Squatina dumeril</i>
Longfin mako	<i>Isurus paucus</i>
Bigeye thresher	<i>Alopias superciliosus</i>
Sharpnose sevengill	<i>Hepttranchias perlo</i>
Bluntnose sixgill	<i>Hexanchus griseus</i>

Bigeye sixgill	<i>Hexanchus nakamurai</i>
Research Species Group	
Sandbar	<i>Carcharhinus plumbeus</i>

Quota Specification – It shall be unlawful for any person to possess any species of shark in state waters when the National Marine Fisheries Service (NMFS) prohibits the possession of that species in federal waters.

When notified that the quota set for any species of shark is harvested or projected to be harvested, as determined by the National Marine Fisheries Service or the Atlantic States Marine Fisheries Commission, the Division of Fish and Wildlife shall file notice with the Office of the Secretary of State prohibiting the commercial landings, harvest and possession of that species in state waters for the remainder of the designated period.

Commercial License – A person must hold a state commercial license in accordance with RIGL Chapter 20-2.1 in order to commercially land, harvest, possess, and sell sharks in state waters.

Display and Research of Sharks – No person shall possess, transport, sell or offer to sell any of the shark species listed in the Prohibited and Research Species Groups without the possession of a valid state collector’s permit obtained from the Division of Fish and Wildlife.

Any person granted a collector’s permit shall:

- a. Report to the Director, within 30 days after coming into possession of a shark. For each and every shark collected for research or display, the report to the Director shall include the following information: species identification, length, weight, date and location where caught by latitude and longitude coordinates, and the gear used; and
- b. For each shark taken for live display, the holder of the permit shall also report to the Director annually, by December 31 of each year, for the life of the shark. The annual report shall include all of the information set forth in the original report to the Director pertaining to the sharks, as well as updated information on the length and weight of the shark.

Dealer Permit

- a. No person shall sell any shark species to a person or dealer who does not possess a state commercial dealer license issued pursuant to RIGL 20-2.1, and a federal Commercial Shark Dealer Permit issued by the National Marine Fisheries Service.
- b. No person shall purchase any shark species for sale or resale unless such

person, possesses a state commercial dealer license issued pursuant to RIGL 20-2.1, and a federal Commercial Shark Dealer Permit issued by the National Marine Fisheries Service. [*Federal Commercial Shark Dealer Permits are open access and can be obtained by contacting the National Marine Fisheries Service Southeast Regional Office in St. Petersburg, FL at (727) 824-5326. Applications are available on the web at <http://sero.nmfs.noaa.gov/permits/permits.htm>].*

Authorized Commercial Gear – No person shall fish commercially for sharks in state waters by any method other than the following gear types:

- Rod & reel
- Handlines, which are defined as a mainline to which no more than two gangions or hooks are attached. A handline is retrieved by hand, not by mechanical means, and must be attached to, or in contact with, a vessel.
- Small Mesh Gillnets which are defined as having a stretch mesh size smaller than 5 inches
- Large Mesh Gillnets which are defined as having a stretch mesh size equal to or greater than 5 inches.
- Trawl nets.
- Shortlines which are defined as fishing lines containing 50 or fewer hooks and measuring less than 500 yards in length. A maximum of 2 shortlines shall be allowed per vessel.
- Pound nets/fish traps.
- Weirs.

Bycatch Reduction Measures – Vessels using shortlines and large-mesh gillnets to catch sharks must abide by the following bycatch regulation measures. Any vessels using shortlines or large-mesh gillnets that do not follow the following bycatch reduction measures are prohibited from possession, landing or selling any sharks.

- a. Any vessel using a shortline shall:
 - (1) use corrodible circle hooks, which are defined as non-offset hooks with the point turned perpendicularly back to the shanks; and
 - (2) practice the protocols, and possess the federally required release equipment, for pelagic and bottom longlines for the safe handling, release, and disentanglement of sea turtles and other non-target species; and
 - (3) have all captains and vessel owners federally certified in

using, handling and release equipment. Captains and vessel owners can become certified by attending a Protected Species Safe Handling, Release, and Identification Workshop offered by NOAA. [Information on these workshops can be found at <http://www.nmfs.noaa.gov/sfa/hms/workshops/index.htm> or by calling the Management Division at (727) 824-5399.]

- b. Any vessel using large-mesh gillnets, must use nets that are shorter than 2.5 kilometers.

Prohibition of Finning – Finning is defined as the act of taking a shark and removing its fins. Finning of sharks is prohibited in all state waters. All sharks, with the exception of smooth dogfish, possessed by commercial fishermen within state boundaries must have the tails and fins attached naturally to the carcass until landed. Fins may be cut as long as they remain attached to the carcass, by natural means, with at least a small portion of uncut skin. Sharks may be gutted and bled provided the tail is not removed. Sharks taken and possessed by commercial fishermen may have the heads removed, but no commercial fisherman shall fillet a shark at sea or otherwise cut a shark into pieces at sea.

Commercial fishermen may completely remove the fins of smooth dogfish from March through June of each year. If fins are removed, the total wet weight of the shark fins may not exceed 5 percent of the total dressed weight of smooth dogfish carcasses landed or found on board a vessel.

From July through February for the smooth dogfish fishery only, commercial fishermen may completely remove the head, tail, pectoral fins, pelvic (ventral) fins, anal fin, and second dorsal fin, but must keep the dorsal fin attached naturally to the carcass through landing. Fins may be cut as long as they remain attached to the carcass, by natural means, with at least a small portion of uncut skin. If fins are removed, the total wet weight of the shark fins may not exceed 5 percent of the total dressed weight of smooth dogfish carcasses landed or found on board a vessel.

Recreational

Recreationally Permitted Species – Recreational fishermen may possess any of the species of sharks listed in Table 7.3.

Table 7.3 Recreationally Permitted Species List.

Recreationally PERMITTED Species	
Smooth Dogfish1	<i>Mustelus canis</i>
Atlantic sharpnose	<i>Rhizoprionodon terraenovae</i>

Finetooth	<i>Carcharhinus isodon</i>
Blacknose	<i>Carcharhinus acronotus</i>
Bonnethead	<i>Sphyrna tiburo</i>
Tiger	<i>Galeocerdo cuvier</i>
Blacktip	<i>Carcharhinus limbatus</i>
Spinner	<i>Carcharhinus brevipinna</i>
Bull	<i>Carcharhinus leucas</i>
Lemon	<i>Negaprion brevirostris</i>
Nurse	<i>Ginglymostoma cirratum</i>
Scalloped hammerhead	<i>Sphyrna lewini</i>
Great hammerhead	<i>Sphyrna mokarran</i>
Smooth hammerhead	<i>Sphyrna zygaena</i>
Shortfin mako	<i>Isurus oxyrinchus</i>
Porbeagle	<i>Lamna nasus</i>
Common thresher	<i>Alopias vulpinus</i>
Oceanic whitetip	<i>Carcharhinus longimanus</i>
Blue	<i>Prionace glauca</i>

Smooth dogfish are not regulated in federal waters and are not prohibited as a result.

Recreationally Prohibited Species -- No person fishing recreationally shall possess, in state waters any shark species that is not permitted to be taken in federal waters, as listed in Table 7.4.

Table 7.4 Recreationally Prohibited Species List.

Recreationally PROHIBITED Species	
Sandbar	<i>Carcharhinus plumbeus</i>
Silky	<i>Carcharhinus falciformis</i>
Sand tiger	<i>Carcharias taurus</i>
Bigeye sand tiger	<i>Odontaspis noronhai</i>
Whale	<i>Rhincodon typus</i>
Basking	<i>Cetorhinus maximus</i>
White	<i>Carcharodon carcharias</i>
Dusky	<i>Carcharhinus obscurus</i>
Bignose	<i>Carcharhinus altimus</i>
Galapagos	<i>Carcharhinus galapagensis</i>
Night	<i>Carcharhinus signatus</i>
Reef	<i>Carcharhinus perezii</i>
Narrowtooth	<i>Carcharhinus brachyurus</i>
Caribbean sharpnose	<i>Rhizoprionodon porosus</i>
Smalltail	<i>Carcharhinus porosus</i>
Atlantic angel	<i>Squatina dumeril</i>
Longfin mako	<i>Isurus paucus</i>
Bigeye thresher	<i>Alopias superciliosus</i>

Sharpnose sevengill	<i>Heptranchias perlo</i>
Bluntnose sixgill	<i>Hexanchus griseus</i>
Bigeye sixgill	<i>Hexanchus nakamurai</i>

Recreational Landings Requirements – No person fishing recreationally shall possess or land sharks that do not have heads, tails, and fins attached naturally to the carcass. Sharks may be gutted and bled by making an incision at the base of the caudal peduncle provided the tail is not removed. No a person fishing recreationally shall fillet a shark at sea or otherwise cut a shark into pieces at sea.

Recreational Minimum Size Limits – No person fishing recreationally shall possess a shark with a fork length less than 54 inches, with the exception of Atlantic sharpnose, blacknose, finetooth, bonnethead, and smooth dogfish, which have no minimum size limit. (See Table 7.4).

Table 7.5 Recreational Minimum Size Limits

No Minimum Size Limit	At Least 54 inches (4.5 Feet) Fork Length	
Smooth Dogfish	Tiger	Shortfin mako
Atlantic sharpnose	Blacktip	Porbeagle
Finetooth	Spinner	Common thresher
Blacknose	Bull	Oceanic whitetip
Bonnethead	Lemon	Blue
	Nurse	Scalloped hammerhead
	Great hammerhead	Smooth hammerhead

Authorized Recreational Gear – No person fishing recreationally shall take sharks by any method other than rod and reel or handline. Handlines are defined as a mainline to which no more than two gangions or hooks are attached; retrieved by hand, not by mechanical means; and attached to, or in contact with, a vessel.

Recreational Shore-Fishing Possession Limits – No recreational fishermen fishing from shore shall possess, in any one calendar day, more than one shark from the recreationally permitted species list (*Section 7.24.2-1, Table 7.3*), except that each such fishermen may individually possess one additional bonnethead (*Sphyrna tiburo*), and one additional Atlantic sharpnose (*Rhizoprionodon terraenovae*) per calendar day. However, recreational shore-fishermen may harvest an unlimited amount of smooth dogfish.

Sharks that are transported by a vessel are considered ‘boat assisted’ and are regulated under the more restrictive vessel-fishing possession limits in section 7.24.2-7 regardless of how or where they were caught.

Recreational Vessel-Fishing Possession Limits -

No vessel engaged in recreational fishing vessels shall possess, in any one calendar day, or any one trip, whichever is less, more than one shark from the recreationally permitted species list (*Section 7.24.2-1, Table 7.3*), regardless of the number of people on board the vessel, except that each recreational fisherman fishing from a vessel may individually possess one additional bonnethead (*Sphyrna tiburo*), and one additional Atlantic sharpnose (*Rhizoprionodon terraenovae*), per calendar day, or per trip, whichever is less. However, recreational vessel-fishermen may harvest an unlimited amount of smooth dogfish.

Dealer Regulations:

Reporting Requirement -- Dealers must comply with the reporting requirements as set forth in section 19.14 of the RI Marine Fisheries Regulations

Summer flounder Dealer/Shipping/Transfer/Reporting Regulations/Penalty

Prohibition on the transfer of Summer flounder -- No Summer flounder (*Paralichthys dentatus*), may be purchased, bartered, or sold within the State of Rhode Island unless marked, processed, shipped, labeled, and handled in accordance with the following rules:

- a. All persons are prohibited from transferring or attempting to transfer at sea summer flounder from one vessel to another vessel, and the licensed person in charge of the vessel may only transfer summer flounder to dealers who possess a valid Rhode Island Dealer's License.
- b. No licensed person in charge of the vessel may land summer flounder after 8:00 PM or prior to 6:00 AM. The legal hours for landing summer flounder are from 6:00 AM to 8:00 PM only.
- c. Repealed 01/01/09
- d. All summer flounder must be weighed prior to the removal of the summer flounder from the dealer's premises or from the point of transfer.
- e. The weight scales must be certified in accordance with Rhode Island law RIGL, Chapter 47-1.
- f. All summer flounder may only be shipped out of state in standard 60 or 100 pound cartons (containers) unless specific prior written authorization is requested and received from the Rhode Island Office of Law Enforcement, 83 Park St., Providence, RI 02903.
- g. Dealers must comply with the reporting requirements as set forth in section 19.14 of the RI Marine Fisheries Regulations

Scup - Scup Dealer/Shipping/Transfer/Reporting Regulations

Prohibition on the transfer of Scup -- No Scup, *Stenotomus chrysops*, may be purchased, bartered, or sold within the State of Rhode Island unless in compliance

with the following:

A. All persons are prohibited from transferring or attempting to transfer scup from one vessel to another vessel while at sea. The licensed person in charge of the vessel may only transfer scup to a dealer licensed by the State of Rhode Island. Dealers are required to be licensed by the R.I. Department of Environmental Management (in compliance with RIGL 20-2-27 (d), or 20-2-28.1).

B. All scup must be weighed prior to the removal of the scup from the dealer's premises or from the point of transfer.

C. The weight scales must be certified in accordance with RIGL, Chapter 47-1.

D. Vessels are only allowed one trip limit in possession per calendar day. In addition, no person may land more than one trip limit in any calendar day. No person shall transport into the State of Rhode Island any scup which is not landed at a port located within the state unless the transaction of the first point of sale is in another state.

E. All dealers are required to record and report all transfers of scup in accordance with the following:

(1) Dealers shall maintain a written record on forms provided by the DFW, or dealer forms, of each scup transaction at their permanent place of business in Rhode Island, or with an authorized agent located in Rhode Island for inspection 8:00 AM - 4:00 PM during the course of normal daily business operations. Records must be available by 10:00 AM on the next business day following the date of landing. Records must be available at the dealer's or agent's Rhode Island office for a period of three years.

(2) The record must include: date of purchase, time of offloading, location of purchase, the vessel name, the name and license number of the Rhode Island license holder and the amount of scup transferred.

F. Dealers must comply with the reporting requirements as set forth in section 19.14 of the RI Marine Fisheries Regulations

Penalty Provisions:

Size, Possession, and Daily Limit Violations On Board Vessels -- In any instance, when there is a violation of the size, possession, or daily limit on board a vessel carrying more than one person when the catch is commingled, the violation shall be deemed to have been committed by the owner of the vessel, or the operator of the vessel, if the owner is not on board.

Striped Bass Violations - Penalties -- Any person, firm, or corporation shall be fined not

more than fifty dollars (\$50) for each striped bass taken, possessed, sold, possessed for sale, or offered for sale in violation of the provisions of this Chapter. In addition to the fine, the fish trap license of the person, firm, or corporation violating the second provision of § 20-4-12 of this Chapter shall have their license be suspended for a period of one (1) year.

License Suspension - Scup

- A. In addition to the penalty prescribed in RIGL 20-1-16, the license of any person who refuses or neglects to make the report required in Scup Dealer/Shipping/Transfer/Reporting Regulations of this Part will be suspended by the Director. The license of any person who knowingly or willfully makes a false report or violates any provision of these regulations may be suspended for a period not to exceed one year.
- B. The Director has determined that non-compliance with these regulations seriously threatens the proper management of the available stock of scup and thus the general welfare as represented that it is appropriate to suspend the license of alleged violators pending formal suspension or revocation hearing arising from the alleged violation.

No person shall take, sell, or possess within this state any scup which have not been taken in compliance with the provisions of this regulation. Any scup which the Director shall have reasonable cause to believe have not been taken in compliance with the provisions of RIGL Title 20 and the regulations adopted in accordance therewith shall be confiscated and sold by the Department of Environmental Management.

License Suspension: Summer Flounder

- a. In addition to the penalty prescribed in RIGL 20-1-16, the license of any person who refuses or neglects to make the report required in Summer Flounder Dealer/Shipping/Transfer/Reporting Regulations of this Part will be suspended by the Director. The license of any person who knowingly or willfully makes a false report or violates any provision of these regulations may be suspended for a period not to exceed one year.
- b. The Director has determined that non-compliance with these regulations seriously threatens the proper management of the available stock of summer flounder and, thus, the general welfare as represented that it is appropriate to suspend the license of alleged violators pending formal suspension or revocation hearing arising from the alleged violation.
- c. No person shall take, sell, or possess within this state any summer flounder which have not been taken in compliance with the provisions of this regulation. Any summer flounder which the Director shall have reasonable cause to believe have not been taken in compliance with the provisions of RIGL Title 20 and the regulations adopted in

accordance therewith shall be confiscated and sold by the Department of Environmental Management.