

Lobster Trap Transfer Request Form | 2015

June 26, 2015

Dear permit holder,

Please use this form to complete a trap transfer request. Both buyer and seller must agree to the information filled out on this form and indicate so by signing the form. This form is to be used for trap transfers where the seller retains his or her permit, but sells some or all of his trap allocation. For transfers involving permit and all traps (complete lobster business transfer), please contact the permit office at [insert phone number] to obtain the appropriate paperwork.

Please fill in all fields by following the application instructions. A sample form can be found on page 4 of this application packet.

Seller's Permit Information
Name: _____
Business Name: _____
Permit Number: _____
F/V: _____
State License Number: _____
State of Issuance: _____
Phone: _____

Buyer's Permit Information
Name: _____
Business Name: _____
Permit Number: _____
F/V: _____
State License Number: _____
State of Issuance: _____
Phone: _____

Trap Transfer Information	Seller	Buyer
1) Transferrable allocation for each Area Trap allocation for all Areas prior to transfer. For Areas 2 and 3, refer to the included trap reduction schedule(s). These reductions serve as transferrable allocation for both the buyer and seller for the upcoming fishing year.	(S₁)	(B₁)
_____	_____	_____
Area	Number of Traps	Area
_____	_____	_____
Area	Number of Traps	Area
_____	_____	_____
Area	Number of Traps	Area
2) Number of traps intended for transfer, area specific* Indicate how many traps from each Area are being transferred. If transfer includes multiple areas, please specify.	(S₂)	(B₂ – should be the same as S₂)
_____	_____	_____
Area	Number of Traps	Area
_____	_____	_____
Area	Number of Traps	Area
_____	_____	_____
Area	Number of Traps	Area

*Traps may only be transferred in increments of 10 and shall not result in the buyer's allocation exceeding an Area's trap cap. Transfers including multiple areas must first be debited from a Seller's multi-area trap stock, then area only transfers. The seller shall not sell an allocation amount which would result in a negative allocation for any of his or her Area(s).

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Use transfer allocation (and areas designated) from previous table to establish allocation for both the Seller and the Buyer post-transfer:

Trap Transfer Information	Seller	Buyer
Amount(s) from Box 1 as indicated from Seller and Buyer	(S₁) _____ Area(s)/Traps	(B₁) _____ Area(s)/Traps
Amount(s) from Box 2 as indicated from Seller and Buyer	(S₂) _____ Area(s)/Traps	(B₂) _____ Area(s)/Traps
3) Conservation Tax applied to transfer Buyer incurs a 10% reduction from trap transfer, resulting in a transfer of 90% of the allocation bought. Calculate each designated Area separately.	(S₃) N/A	(B₃) $\frac{\quad}{(B_2)} \times 0.9 = \frac{\quad}{(B_3, \text{Area } \quad)}$ $\frac{\quad}{(B_2)} \times 0.9 = \frac{\quad}{(B_3, \text{Area } \quad)}$
4) Trap allocation for each Area, post-transfer Deduct/Add the amount of traps transferred per specified Area(s) from/to transferrable allocation. Calculate each designated Area accordingly.	(S₄) $\frac{\quad}{(S_1)} - \frac{\quad}{(S_2)} = \frac{\quad}{(S_4, \text{Area } \quad)}$ $\frac{\quad}{(S_1)} - \frac{\quad}{(S_2)} = \frac{\quad}{(S_4, \text{Area } \quad)}$	(B₄) $\frac{\quad}{(B_1)} + \frac{\quad}{(B_3)} = \frac{\quad}{(B_4, \text{Area } \quad)}$ $\frac{\quad}{(B_1)} + \frac{\quad}{(B_3)} = \frac{\quad}{(B_4, \text{Area } \quad)}$

Please allow 8-10 weeks for the transfer to be finalized and reflected in your individual trap allocation per Area. This transfer will be effective on [insert date] for the [insert next fishing year] fishing year.

Applicant's Name and Signature:

We, the undersigned, agree that the information provided in this form is accurate to the best of our knowledge, and authorize National Marine Fisheries Service (NMFS) to verify the information and proceed with the trap transfer request. If any errors are made, we understand that a new form would need to be submitted. We authorize NMFS to share this information with the relevant state fisheries agencies to ensure consistency with state and Federal trap allocations. By signing this application, we are applying for a trap transfer for the following fishing year.

Print Name of **Seller:** _____

Signature of **Seller:** _____

Date: _____

Print Name of **Buyer:** _____

Signature of **Buyer:** _____

Date: _____

Please mail the trap transfer request form to:

**Trap Transfer Request
Northeast Region Permit Office
NMFS
55 Great Republic Drive
Gloucester, MA 01930**

You may also submit your trap transfer request form by faxing it to **978-281-9135** or by emailing the request form to **[provide email address]**.

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other suggestions for reducing this burden to John K. Bullard, Regional Administrator, Northeast Region, NMFS, 55 Great Republic Drive, Gloucester, MA 01930-2298.

All data will be kept confidential as required by NOAA Administrative Order 216-100, Confidentiality of Fisheries Statistics; however, final eligibility determinations and trap allocations may be made available to the public, consistent with current practices relative to NMFS permit data. Notwithstanding any other provisions of the law, no person is required to respond to, nor shall any person be subjected to a penalty for failure to comply with, a collection of information subject to the requirements of the Paperwork Reduction Act, unless that collection of information displays a currently valid OMB Control Number.

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Sample Trap Transfer Request Form

Seller's Permit Information
Name: <u>Joe Jones</u>
Business Name: <u>Wicked Lobstah Inc.</u>
Permit Number: <u>152111</u>
F/V: <u>Captain Joe</u>
State License Number: <u>ME1441A</u>
State of Issuance: <u>Maine</u>
Phone: <u>207-370-1234</u>

Buyer's Permit Information
Name: <u>Charles Simmons</u>
Business Name: <u>N/A</u>
Permit Number: <u>152123</u>
F/V: <u>Pretty Penny</u>
State License Number: <u>002655</u>
State of Issuance: <u>Massachusetts</u>
Phone: <u>781-883-1234</u>

Trap Transfer Information	Seller	Buyer																
<p>1) Transferrable allocation for each Area Trap allocation for all Areas prior to transfer. For Areas 2 and 3, refer to the included trap reduction schedule(s). These reductions serve as transferrable allocation for both the buyer and seller for the upcoming fishing year. Trap reduction schedule for A2/A3: Area 2 = 25% reduction first year and 5% reduction the 5 years following. Area 3 = 5% reduction for 5 years.</p>	<p style="text-align: center;">(S₁)</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;"><u>2</u></td> <td style="width: 50%; text-align: center;"><u>450</u></td> </tr> <tr> <td style="text-align: center;">Area</td> <td style="text-align: center;">Number of Traps</td> </tr> <tr> <td style="text-align: center;"><u>3</u></td> <td style="text-align: center;"><u>1140</u></td> </tr> <tr> <td style="text-align: center;">Area</td> <td style="text-align: center;">Number of Traps</td> </tr> </table> <p>This example has both Area 2 and 3 which are subject to a trap reduction schedule. A2 (600 traps x 0.75 = 450 traps); A3 (1200 traps x 0.95 = 1140 traps). This example shows 450 traps which would then have an A2/A3 designation and 690 traps with only A3 designation (1140-450=690).</p>	<u>2</u>	<u>450</u>	Area	Number of Traps	<u>3</u>	<u>1140</u>	Area	Number of Traps	<p style="text-align: center;">(B₁)</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%; text-align: center;"><u>1</u></td> <td style="width: 50%; text-align: center;"><u>800</u></td> </tr> <tr> <td style="text-align: center;">Area</td> <td style="text-align: center;">Number of Traps</td> </tr> <tr> <td style="text-align: center;"><u>3</u></td> <td style="text-align: center;"><u>855</u></td> </tr> <tr> <td style="text-align: center;">Area</td> <td style="text-align: center;">Number of Traps</td> </tr> </table> <p>This example includes Area 1 which cannot incur additional allocation but A3 can. A3 allocation according to 5 year reduction schedule for this permit: 900 traps x 0.95 = 855 traps</p>	<u>1</u>	<u>800</u>	Area	Number of Traps	<u>3</u>	<u>855</u>	Area	Number of Traps
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Use transfer allocation (and areas designated) from previous table to establish allocation for both the seller and the buyer post-transfer:

Trap Transfer Information	Seller	Buyer
Amount(s) from Box 1 as indicated from Seller and Buyer	(S ₁) <u>Area 2 (450) ; Area 3 (1140)</u> Areas (Traps)	(B ₁) <u>Area 1 (800) ; Area 3 (855)</u> Areas (Traps)
Amount(s) from Box 2 as indicated from Seller and Buyer	(S ₂) <u>Area 2 (450) ; Area 3 (650)</u> Areas (Traps)	(B ₂) <u>Area 2 (450) ; Area 3 (650)</u> Areas (Traps)
3) Conservation Tax applied to transfer Buyer incurs a 10% reduction from trap transfer, resulting in a transfer of 90% of the allocation bought. Calculate each designated Area separately.	(S ₃) N/A	(B ₃) $\frac{450}{(B_2)} \times 0.9 = \frac{405}{(B_3, \text{Area } \underline{2})}$ $\frac{650}{(B_2)} \times 0.9 = \frac{585}{(B_3, \text{Area } \underline{3})}$
4) Trap allocation for each Area, post-transfer Deduct/Add the amount of traps transferred per specified Area(s) from/to transferrable allocation. Calculate each designated Area accordingly.	(S ₄) $\frac{450}{(S_1)} - \frac{450}{(S_2)} = \frac{0}{(S_4, \text{Area } \underline{2})}$ $\frac{1140}{(S_1)} - \frac{650}{(S_2)} = \frac{490}{(S_4, \text{Area } \underline{3})}$ Post-transfer allocation: Area 2 = 0 traps Area 3 = 490 traps After this transfer, this permit holder is able to sell 490 Area 3 only traps if he/she so chooses. He/she may also elect to buy more Area 2, 3 and/or AOC traps.	(B ₄) $\frac{0}{(B_1)} + \frac{405}{(B_3)} = \frac{405}{(B_4, \text{Area } \underline{2})}$ $\frac{855}{(B_1)} + \frac{585}{(B_3)} = \frac{1440}{(B_4, \text{Area } \underline{3})}$ Post-transfer allocation: Area 1 = 800 traps Area 2 = 405 traps Area 3 = 1440 traps This permit holder can maintain rights to Area 1 as long as he/she does not sell any A2 and/or A3 traps. Selling traps which have an A1 history tied to a multi-area allocation stock would make Area 1 less than an 800 trap allocation. This is forfeiture of A1 fishing rights on the permit.

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Please allow 8-10 weeks for the transfer to be finalized and reflected in your individual trap allocation per Area. This transfer will be effective on May 1st, 2016 for the 2016 fishing year.

Applicant's Name and Signature:

We, the undersigned, agree that the information provided in this form is accurate to the best of our knowledge, and authorize National Marine Fisheries Service (NMFS) to verify the information and proceed with the trap transfer request. If any errors are made, we understand that a new form would need to be submitted. We authorize NMFS to share this information with the relevant state fisheries agencies to ensure consistency with state and Federal trap allocations. By signing this application, we are applying for a trap transfer for the following fishing year.

Print Name of **Seller**: Joe Jones

Signature of **Seller**: Joe Jones

Date: 9/15/2015

Print Name of **Buyer**: Charles Simmons

Signature of **Buyer**: Charles Simmons

Date: 9/15/2015

Please mail the trap transfer request form to:

**Trap Transfer Request
Northeast Region Permit Office
NMFS
55 Great Republic Drive
Gloucester, MA 01930**

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